



VCU

BOARD OF VISITORS

1:00 P.M.

DECEMBER 13, 2019

JAMES CABELL LIBRARY

901 PARK AVENUE, ROOM 303, RICHMOND, VIRGINIA

MINUTES

BOARD MEMBERS PRESENT

Mr. Keith T. Parker, Rector
Mr. H. Benson Dendy III, Vice Rector
Ms. Pamela El
Ms. Carolina Espinal
Mr. Peter Farrell
Mr. Todd P. Haymore
Dr. Robert D. Holsworth
Dr. Gopinath Jadhav
Mr. John A. Luke, Jr.
Mr. Edward L. McCoy
Rev. Tyrone E. Nelson
Ms. Coleen Santa Ana
Mr. Stuart C. Siegel
Dr. Shantaram Talegaonkar
Mr. G. Richard Wagoner, Jr.

BOARD MEMBERS ABSENT

Ms. Alexis Swann

OTHERS PRESENT

President Michael Rao
Ms. Chelsea Gray, Board Liaison
Mr. Mike Melis, University Counsel
Ms. Michele N. Schumacher, J.D., Assistant Secretary and Board Liaison
VCU students, faculty and staff
Members of the Media

CALL TO ORDER

Mr. Keith T. Parker, Rector called the meeting to order at 1:15 p.m. in the James Cabell Library, 901 Park Avenue, Richmond, Virginia.

APPROVAL OF THE AGENDA

Mr. Parker asked for a motion to approve the Agenda as published. After a motion duly made a seconded, the Agenda as published was unanimously approved.

APPROVAL OF MINUTES

Mr. Parker asked for a motion to approve the minutes of the August 15, 2019 Board Orientation, the August 15-16, 2019 Board Retreat, and the September 13, 2019 Board of Visitors meeting. After motion duly made and seconded the minutes of the August 15, 2019 Board Orientation, the August 15-16, 2019 Board Retreat, and the September 13, 2019 Board of Visitors meeting were unanimously approved. A copy of the minutes can be found on the VCU website at the following webpage <https://president.vcu.edu/board/minutes/>.

PRESIDENT'S REPORT

President Michael Rao welcomed Mr. Peter Farrell to VCU's Board of Visitors noting that Mr. Farrell is a VCU alumnus and has an MBA from the VCU School of Business.

President Rao continued by reviewing the university's priorities, specifically, student success; research - national prominence; safety, quality and service at VCU Health System and VCU Health Sciences; and Massey Cancer Center.

He remarked that the university has continued improvement in graduation rates and now exceeds the national average by ten percent (10%). He also informed the Board that the university is now a top 100 university nationally in conferring degrees to underrepresented minority students. With regard to research President Rao informed the Board that we are among the top three research universities in Virginia, we rank in the top 100 nationally, and our NSF rankings are 14th in addiction research and 33rd in neuroscience. President Rao noted the achievements of Dr. Steven Woolf and his nationally noted study on decline of life expectancy in the United States despite advances of modern science.

President Rao also reviewed the positive changes at Massey Cancer Center noting the hiring of Dr. Robert Winn, a physician-scientist and an expert in lung cancer and community-based health. He continued that Dr. Winn is committed to elevating Massey's designation by the National Cancer Institute to become Virginia's first NCI Comprehensive Cancer Center.

Looking to the upcoming 2020 Session of the Virginia General Assembly, President Rao also remarked on the top priorities for the legislature, specifically, student financial assistance and faculty compensation.

President Rao also acknowledged Dr. Marsha Rappley, VCU Senior Vice President for Health Sciences, and VCU Health System Chief Executive Officer, for her service to VCU, noting that this will be Dr. Rappley's last meeting as she is retiring January 2, 2020. In addition, President Rao acknowledged Ms. Michele N. Schumacher, J.D., Assistant Secretary and Board Liaison, for her service to VCU, noting that this will be Ms. Schumacher's last meeting as she is retiring in 2020.

President Rao also introduced Chelsea R. Gray, Board Liaison.

CLOSED SESSION

Mr. Parker moved that the Virginia Commonwealth University Board of Visitors convene into closed session pursuant to Sections 2.2-3711 (A) (1), (2), (3), (7), (8), (9), (11), (19), (23), and (29) of the Virginia Freedom of Information Act for the for the discussion of personnel matters, more specifically relating to the performance evaluation and/or compensation of the President of Virginia Commonwealth University and various other staff members, specifically including faculty and other employee appointments,

changes in status, and separations, and the evaluation of performance of certain departments of Virginia Commonwealth University as to employment separation processes, engagement on state audit requirements, succession and interim appointment plans, and regulatory compliance which will require discussion of the performance of specific individuals in those departments; discussion or consideration of disciplinary matters or information contained in scholastic records pertaining to certain student athletes at Virginia Commonwealth University; discussion or consideration of the acquisition and disposition of real property for a public purpose, or of the disposition of publicly held real property, where discussion in an open meeting would adversely affect the bargaining position or negotiating strategy of the public body specifically related to the acquisition of real property near, or adjacent to the Monroe Park Campus and/or the MCV Campus for the expansion of campus facilities; discussion of matters requiring the provision of legal advice by counsel, including advice concerning potential litigation and current litigation in state and federal courts and university investigations; discussion of gifts, bequests, and fund-raising activities of Virginia Commonwealth University, specifically the Named Funds and Spaces Report, Approved Named Funds under \$50,000 Report, and Top Gifts Report; discussion and consideration of honorary degrees or special awards for commencements during calendar year 2020; discussion of specific cybersecurity threats or vulnerabilities and briefings by staff members concerning actions taken to respond to such matters, specifically relating to financial and information technology processes; discussion and consideration of Virginia Commonwealth University Health System operations and real property, including separations from employment and resulting, succession and interim appointment plans, and updates on potential opportunities for expansion; and discussion of the award of a public contract related to the hiring of a Vice President of Health Sciences which involves the expenditure of public funds and discussion of the terms or scope of such contract, where discussion in an open session would adversely affect the bargaining position or negotiating strategy of the public body.

The Rector asked President Rao, members of the Cabinet, Ms. Schumacher, Ms. Gray, Mr. Fiorelli, Ms. Griffin, Ms. Johns, and Ms. Burke, to remain for the closed session, all others were excused. During the closed session members of the Cabinet except for Mr. Melis and Ms. Helderman, President Rao, Mr. Fiorelli, Ms. Griffin, Ms. Johns, and Ms. Burke were also excused.

RECONVENED SESSION

Following the closed session, the public was invited to return to the meeting. Mr. Parker called the meeting to order. On motion duly made and seconded, the following resolution of certification was approved by a roll call vote:

Resolution of Certification

BE IT RESOLVED, that the Board of Visitors of Virginia Commonwealth University certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements under this chapter were discussed in the closed meeting to which this certification resolution applies, and (ii) only such public business matters as were identified in the motion by which the closed session was convened were heard, discussed or considered by the Board.

<u>Vote</u>	<u>Ayes</u>	<u>Nays</u>
Mr. Keith T. Parker, Rector	X	
Mr. H. Benson Dendy III, Vice Rector	X	

Virginia Commonwealth University
Board of Visitors
December 13, 2019 Minutes

Ms. Carolina Espinal	X
Mr. Todd P. Haymore	X
Dr. Robert D. Holsworth	X
Dr. Gopinath Jadhav	X
Mr. John A. Luke, Jr.	X
Mr. Edward L. McCoy	X
Rev. Tyrone E. Nelson	X
Ms. Coleen Santa Ana	X
Mr. Stuart C. Siegel	X
Dr. Shantaram Talegaonkar	X
Mr. G. Richard Wagoner, Jr.	X

Ms. Pamela El and Mr. Peter Farrell were not present for the vote. All remaining members present responding affirmatively, the resolution of certification was adopted.

After the closed session Mr. Parker asked for a motion to approve the following items (i) The Faculty Tenure appointments, changes in status and tenure recommendations including the appointment of Peter Buckley as Interim CEO, VCU Health System and Senior VP, VCU Health Sciences; (ii) the recipients of the Edward A. Wayne Medal; (iii) the awarding of the Doctorate of Humane Letters at the May 2020 Commencement; (iv) the funds eligible for naming and funds eligible for naming under \$50,000; and (v) the personnel matters as presented in closed session and authorize the President to execute the agreements that were discussed.

Mr. Parker noted that the Governance and Compensation Committee are recommending an increase in the President's compensation due in part to the President's achievements in the University's strategic priorities including, increased graduation rates, financial management and fundraising, increased patient satisfaction, and commitment to diversity and inclusion. He also noted that the increase is in line with what other state employees received this year. Mr. Parker then asked for a motion to approve the following resolution in connection with the President's compensation:

BE IT RESOLVED that the Board hereby approves the following increases in connection with the President's compensation, a three percent (3%) increase in the base salary for a total yearly increase of \$18,193.23, making the new base salary \$624,634.23, effective June 10, 2019. (The effective date of the increase is the same date that eligible VCU employees received their salary increases.); and a three percent (3%) increase in the housing allowance for a yearly increase of \$2,160.00, effective July 1, 2019, making the new yearly housing allowance \$74,160.00 or \$6,180 per month.

After a second duly made, the President's compensation was unanimously approved.

Mr. Parker also informed the Board that there was one change to the agenda, Dr. Hackett's presentation on Rankings would be provided at the February 2020 Board meeting.

VCU STATE OF RESEARCH

Dr. Srirama Rao, Vice President for Research and Innovation, provided an overview of the status of VCU's research enterprise. Dr. Rao reviewed how VCU's foundational efforts over the years have set the stage for record funding, publications in the most reputable journals, and prominence in multiple areas of research. He provided an update on the Strategic Research Priorities Plan (SRPP) that is being developed by faculty across the University, and that is aligned with the goals set forth in Quest 2025: Together We Transform. A copy of Dr. Rao's presentation is attached hereto as **Attachment A** and is made a part hereof.

REPORT OF THE ACADEMIC AND HEALTH AFFAIRS COMMITTEE

Mr. Edward McCoy, Chair of the Academic and Health Affairs Committee, noted that there are a number of action items and that all materials for action items are in the Board books. Mr. McCoy moved on behalf of the Committee that the Board approve the following:

1. Creating a Bachelor of Science in Finance, School of Business
2. Creating a Master of Science in Finance, School of Business (pending internal approval)
3. Closure of the Post-Baccalaureate Certificate in Autism Spectrum, School of Education
4. Creating a Baccalaureate Certificate in Disability, School of Education
5. Creating a Doctor of Philosophy in Special Education, School of Education
6. Closure of the Post-baccalaureate Certificate in College Student Development and Counseling, School of Education (pending internal approval)
7. Name change for the Center for Study of Biological Complexity to the Center for Biological Data Science, VCU Life Sciences
8. Closure of the Master of Bioinformatics, VCU Life Sciences
9. Name change for the Department of Radiation Sciences to the Department of Radiologic Sciences, College of Health Professions
10. Name change for the Department of Clinical Laboratory Sciences to the Department Medical Laboratory Sciences, College of Health Professions
11. Creating a Graduate Certificate in Health Care Innovation, School of Nursing
12. Creating a Post-Baccalaureate Certificate in Clinical Research, C. Kenneth and Dianne Wright Center for Clinical and Translational Research

After a second duly made, the items listed above were unanimously approved. A copy of each is attached hereto as **Attachment B** and are made a part hereof.

REPORT OF THE AUDIT, INTEGRITY AND COMPLIANCE COMMITTEE

Dr. Shantaram Talegaonkar, Chair of the Audit, Integrity and Compliance Committee, noted all materials for the Committee are in the Board books.

REPORT OF THE FINANCE, BUDGET AND INVESTMENT COMMITTEE

Mr. Stuart Siegel, Chair of the Finance, Budget and Investment Committee, noted all materials for action items are in the Board books. Mr. Stuart Siegel moved on behalf of the Committee that the Board approve the following:

1. Issuance of a Request for Proposal for Professional Architectural/Engineering Term Contracts General

2. Project Plans for Sanger 9 Surgery Innovation Suite
3. Public-Private Education and Infrastructure Act (PPEA) Guidelines
4. Postdoctoral Scholars IRS Section 125 Plan.

After a second duly made, the action items listed above were unanimously approved. A copy of each item is attached hereto as **Attachment C** and are made a part hereof.

REPORT OF THE GOVERNANCE AND COMPENSATION COMMITTEE

Mr. Parker, on behalf of Mr. Dendy, Chair of the Governance and Compensation Committee, noted all materials for action items are in the Board Books. Mr. Parker, Rector, moved on behalf of the Committee that the Board approve the following:

1. Resolution Authorizing Human Subjects Research Regulation
2. Policy on Tuition and Fee Public Comments
3. Policy on Student, Faculty and Staff Representative Appointments to the Board of Visitors
4. Amendments to the Board of Visitors Bylaws
5. Revised Statement on Governance
6. Resolution BOV Member Attendance at SCHEV Orientation

After a second duly made, the action items listed above were unanimously approved. A copy of each item is attached hereto as **Attachment D** and are made a part hereof.

REPORT OF THE UNIVERSITY RESOURCES COMMITTEE

G. Richard Wagoner, Jr., Chair of the University Resources Committee, noted all materials for the Committee are in the Board books.

ADJOURNMENT

With no further business to come before the Board, Mr. Keith T. Parker, Rector, adjourned the meeting at 4:55 p.m.

VCU State of Research

Presentation to BOV
December 13, 2019

Srirama Rao, Ph.D.
Vice President for Research and Innovation



VCU

Office of Research
and Innovation

Historic Milestone for VCU Research

**VCU celebrates
\$310 Million**
in FY 2019 sponsored funding

This institutional record testifies to
the extraordinary contributions of
VCU researchers and innovators



One VCU Research



Hospitals

- VCU Medical Center
- Children's Hospital of Richmond at VCU
- Community Memorial Hospital

Comprehensive Care Centers

- VCU Massey Cancer Center
- Pauley Heart Center
- Harold F. Young Neurological Center
- Hume-Lee Transplant Center

Research Institutes, Centers and Cores



Colleges and Schools

- College of Engineering
- College of Health Professions
- College of Humanities & Sciences
- L. Douglas Wilder School of Government & Public Affairs
- School of Dentistry
- School Education
- School of Business
- School of Medicine
- School of Nursing
- School of Pharmacy
- School of Social Work
- VCU Life Sciences

VCU's History of Research

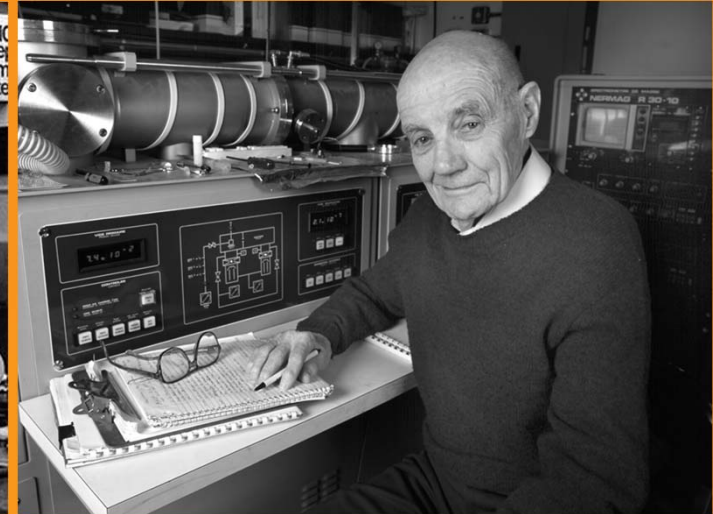
Home to pioneers in discovery and world-renowned scientists



1956: Dr. David Hume,
Led one of the nation's first programs in
organ transplantation



1980: Dr. Baruj Benacerraf,
Nobel Laureate in Medicine
or Physiology

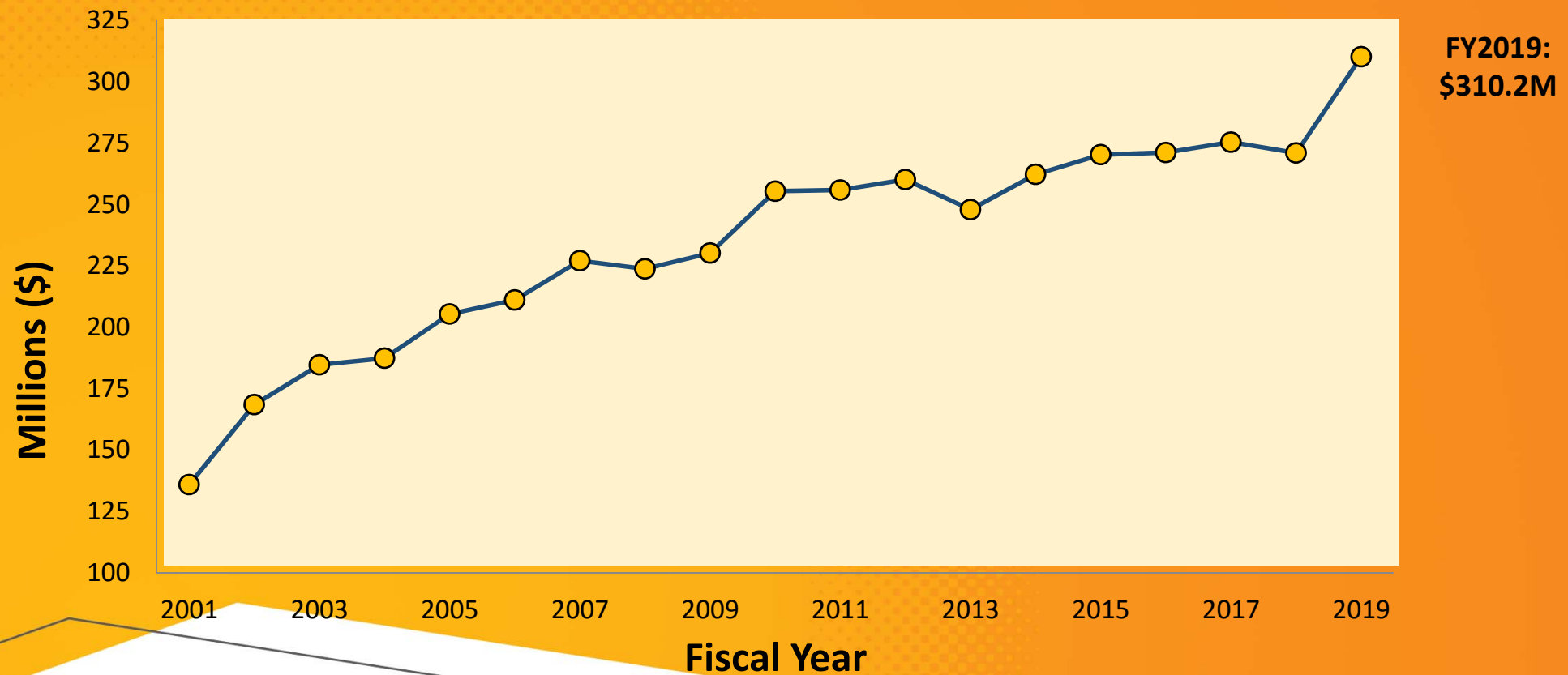


2002: Dr. John Fenn,
Nobel Laureate in Chemistry

VCU Research Metrics, Highlights and Impact



Sponsored Program Awards: FY 2001 to 2019



Sponsored Program Awards

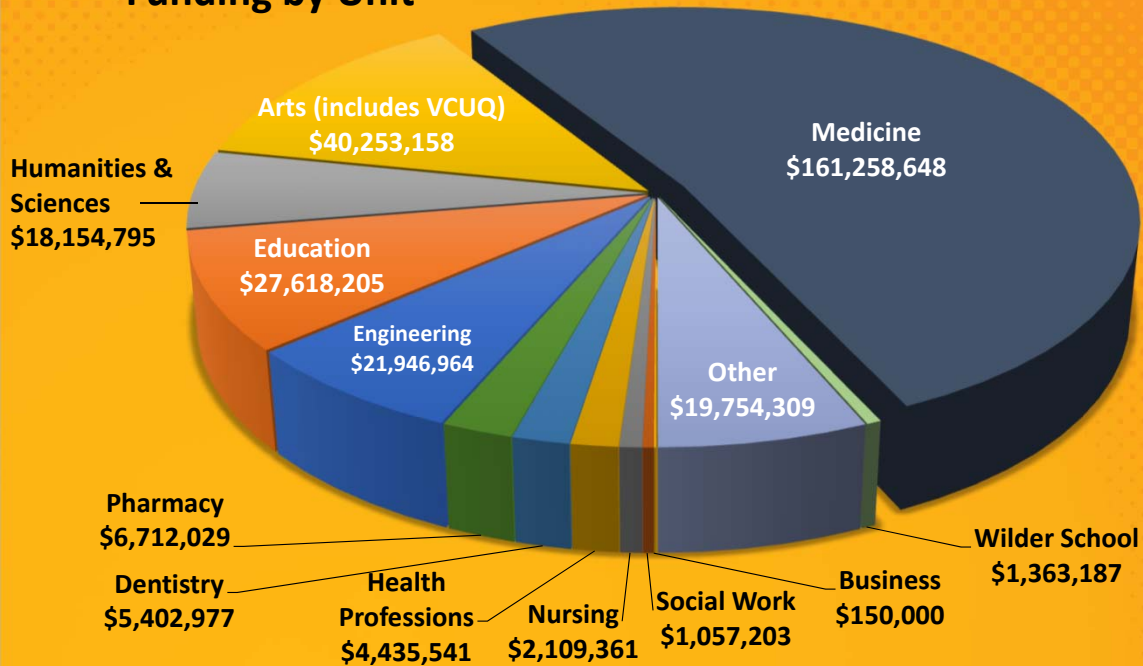
College/School	FY2018	FY2019	% Change
Arts (includes VCUQ)	\$40M	\$40.3M	1%
Business	\$30K	\$150K	396%
Dentistry	\$6.3M	\$5.4M	-14%
Education	\$26M	\$27.6M	6%
Engineering	\$18.2M	\$22M	21%
Health Professions	\$2.7M	\$4.4M	67%
Humanities & Sciences	\$16.3M	\$18M	12%
Medicine	\$138.6M	\$161.3M	16%
Nursing	\$1M	\$2.1M	109%
Pharmacy	\$6.8M	\$6.7M	-2%
Social Work	\$0.8M	\$1.1M	27%
Wilder School	\$0.5M	\$1.4M	153%

Source	FY2018	FY2019	% Change
Federal	\$140.9M	\$159.8M	13%
NIH	\$78.9M	\$89.9M	14%
NSF	\$6.2M	\$7M	13%
Other Federal	\$56M	\$62.9M	12%
Foundation & Gifts	\$73.8M	\$73.8M	3%
Industry	\$29.7M	\$29.7M	0%
State	\$28.9M	\$46.9M	62%
TOTAL	\$271M	\$310.2M	14%

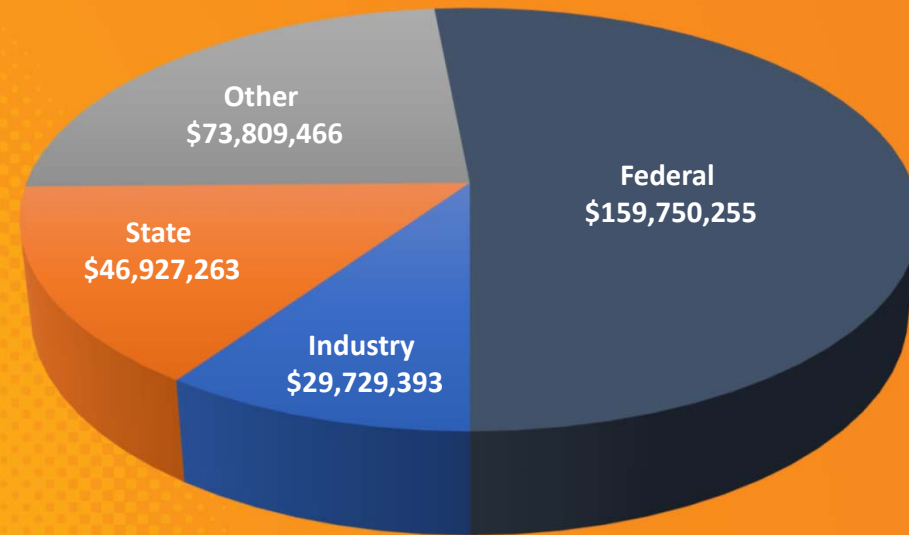
FY 2019 Sponsored Program Awards

Total: \$310,216,377

Funding by Unit



Funding by Source



NIH: \$89.9M (56%)
Fed., Non-NIH: \$69.9M (44%)



VCU

Sponsored Program Awards: FY 2018 vs 2019 - NIH Portfolio

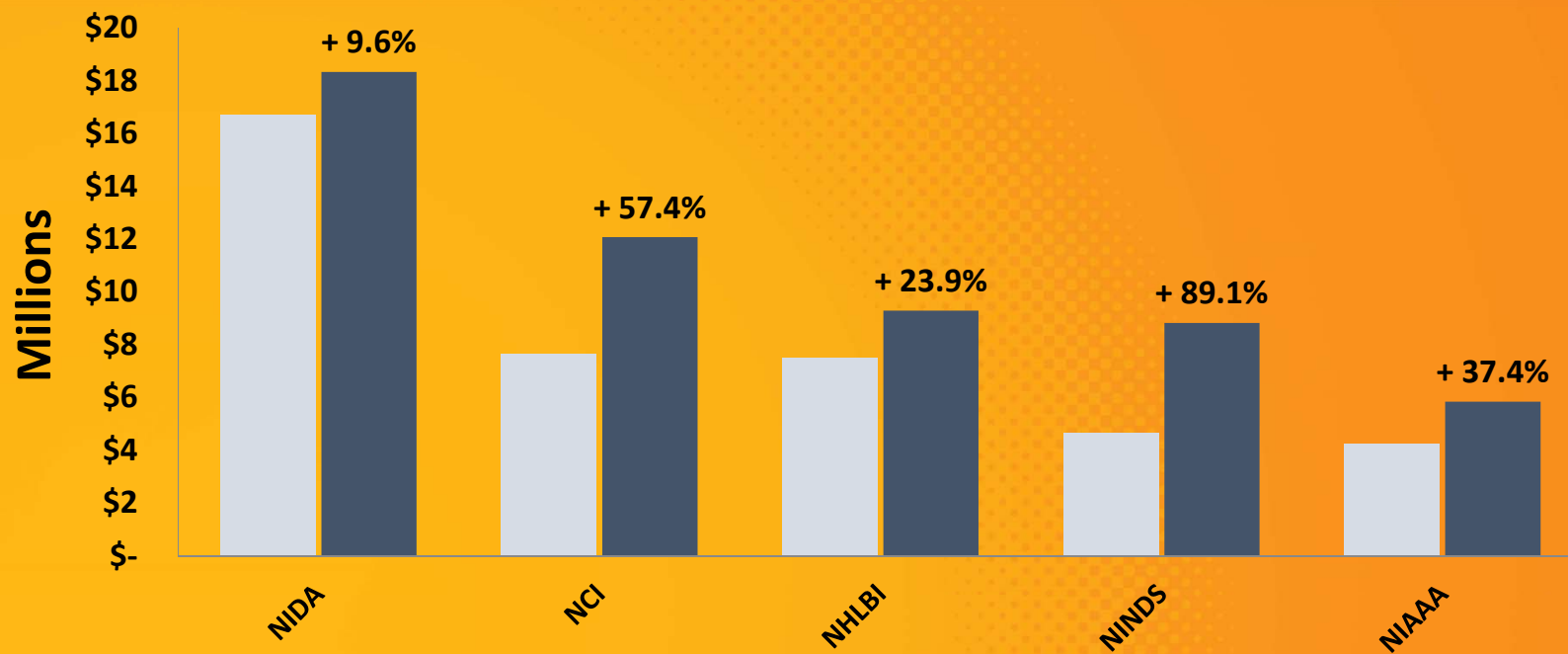
Totals

FY 2018 NIH: \$78.9M

FY 2019 NIH: \$89.9M

■ Fiscal Year 2018

■ Fiscal Year 2019



NIH Institutes

NIH Institutes:

NIDA: Drug Abuse

NCI: Cancer

NHLBI: Heart, Lung & Blood

NINDS: Neurological Disorders & Stroke

NIAAA: Alcohol Abuse & Alcoholism

Current Rankings (2018 Expenditures)

NSF HERD*

#65

Total R&D
Expenditures*

(of 407 public research
universities)

Top 16%

#60

Federal R&D
Expenditures

(of 407 public research
universities)

Top 15%

#95

Total R&D
Expenditures

(of all 644 public & private
research universities)

Top 14%

#92

Federal R&D
Expenditures

(of all 644 public & private
research universities)

Top 14%

BLUE RIDGE / NIH

#33

Neuroscience

#14

Addiction

#24

School of
Pharmacy

#60

School of
Medicine

#26

School of
Dentistry

*NSF HERD data from November 2019



NIH – Blue Ridge Ranking

Neurosciences (opioid/alcohol/addiction) and Cancer*

	Addiction	Neuroscience	NCI (Cancer)	NIDA	NIAAA	NINDS
VCU	25	43	81	23	17	79
UVA	144	76	50	119	n/a	49
VT	116	94	154	120	116	72
GMU	48	115	n/a	39	233	325
W&M	n/a	686	n/a	n/a	n/a	375

*Relative to other Virginia research universities

National Institutes of Health:
NCI: Cancer
NIDA: Drug Abuse
NIAAA: Alcohol Abuse & Alcoholism
NINDS: Neurological Disorders & Stroke



VCU

Top 50 Rankings in Graduate and First-professional Programs

1st

Sculpture
Nurse Anesthesia

2nd

Fine Arts

3rd

Education - Online
Glass

4th

Rehabilitation
Counseling

5th

Health Care
Management

7th

Graphic Design
Painting/Drawing

9th

Ceramics

10th

Printmaking

17th

Occupational
Therapy
Pharmacy

20th

Best Education
Schools
Nuclear
Engineering
Physical Therapy

25th

Social Work

39th

Public Affairs

44th

Doctor of
Nursing Practice

48th

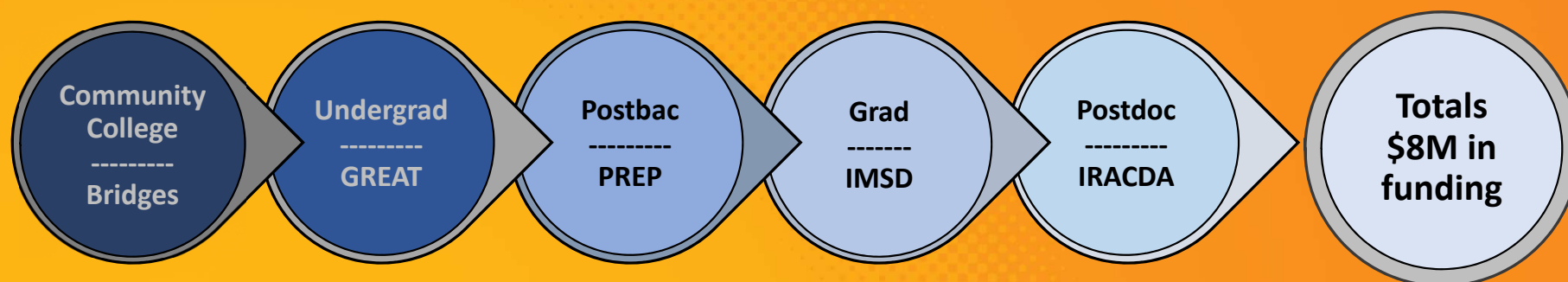
Engineering –
Online

U.S. News & World Report as of March 12, 2019



Investing in the Future: Students & Postdoctoral Scholars at VCU

VCU is the only university in the country with all 5 NIH trainee diversity awards



>300

Undergrads in
Research Symposium

124

Undergrad Research
Trainees (UROP/WSP)

1,400

Masters Degree
Grads

353

Doctoral Degree
Grads

215

Postdocs

VCU – Technology, Commercialization & Economic Development

Innovation Gateway & VCU Ventures

FY 2019

114 Invention disclosures
187 Patents filed (**30** patents issued)
\$2.6M In licensing revenue
7 Startups formed (**14** supported)
21 Licenses/options

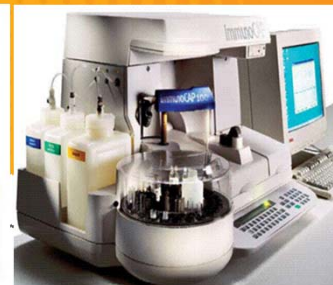
Over 10 Years

1,200 Invention disclosures
1,500 Patents filed (**162** patents issued)
>\$25M In licensing revenue
40 Startups formed
29 Products to market
165 Licenses/options
\$64M In equity funding

Significant Economic Impact:



VCU



VCU Technology, Commercialization & Economic Development

2018 Virginia Peers (Preliminary Data)					
Institution	Invention Disclosures	Patent Applications	Licensing Income	Issued Patents	Start-ups
VCU	134	155	\$2,700,847	23	4
UVA	213	83	\$2,429,938	59	3

2017					
VCU	134	165	\$6,677,008	22	4
UVA	207	92	\$3,354,570	43	6

2016					
VCU	133	157	\$2,337,226	18	4
UVA	203	152	\$7,492,073	38	6
VT	159	97	\$1,842,364	20	4

2015					
VCU	93	136	\$2,570,679	17	7
UVA	187	80	\$2,417,134	29	7
VT	105	87	\$1,968,947	19	8

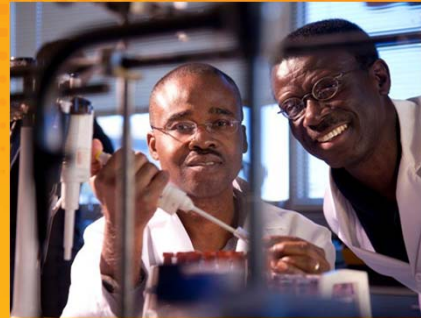
Highlights of Impactful Research at VCU



Medicines for All



Epinavigator



Sickle Cell Anemia



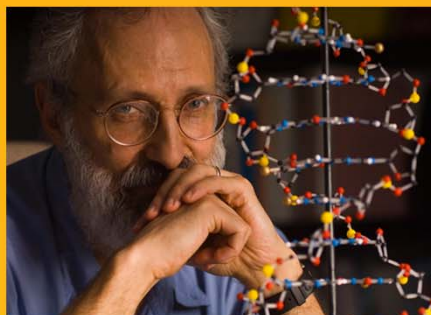
Inventor of the Year



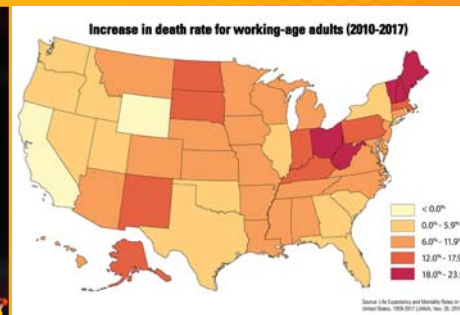
Traumatic Brain Injury



Explosives Detection



Molecular Genetics



Life Expectancy



VCU – GREAT Students



Opioid-abuse, Pain, Addiction



Community Health



Spit for Science



Microbiome



STEM Teacher Research



Art Avatars and Pain



Tobacco and E-cigarettes

Record Results in Clinical Research (FY19)

862

total clinical
research studies in

11

schools & centers

575

clinical trials

301

VCU-designed
clinical studies



5,108

total participants

1,118

in VCU-designed studies

91

in faculty-held new
drug/device studies

Data from OVPRI, WCTTR,
MCC, VCUH, etc,



Impact of \$22M NIH Clinical & Translational Science Award

Accelerating the science that supports healthy communities

Impacting the nation

VCU is among the top 43 institutions nation-wide that has both an NCI-designated cancer center and an NIH CTSA award

The Wright Center fosters clinical research collaborations across the state and leads in the translation of research to patient care

VCU's Wright Center:

A hub for clinical and translational research

Impacting the region

VCU is one of only 53 institutions ranked as “**Community engaged**” with “Very high research activity” by the Carnegie Foundation

Impacting the Commonwealth

VCU leads the Virginia Clinical and Translational Science Research Conference which will double from 200 to 400 participants in 2020 and host UVA, VT, EVMS, and GMU



Looking ahead

**Advancing VCU's Strategic Research Priorities:
Themes and Goals**



VCU Quest 2025 – Together We Transform

Achieving national prominence through excellence in:

Inclusion, Access and Excellence

Community engagement & collaboration (diverse trainees and patients in clinical research)

Innovative & Transformative Learning

Experiential learning opportunities for trainees

Exceptional Patient Care

Research quality, integrity and security

Impactful Research

Investigator Initiated research and team science

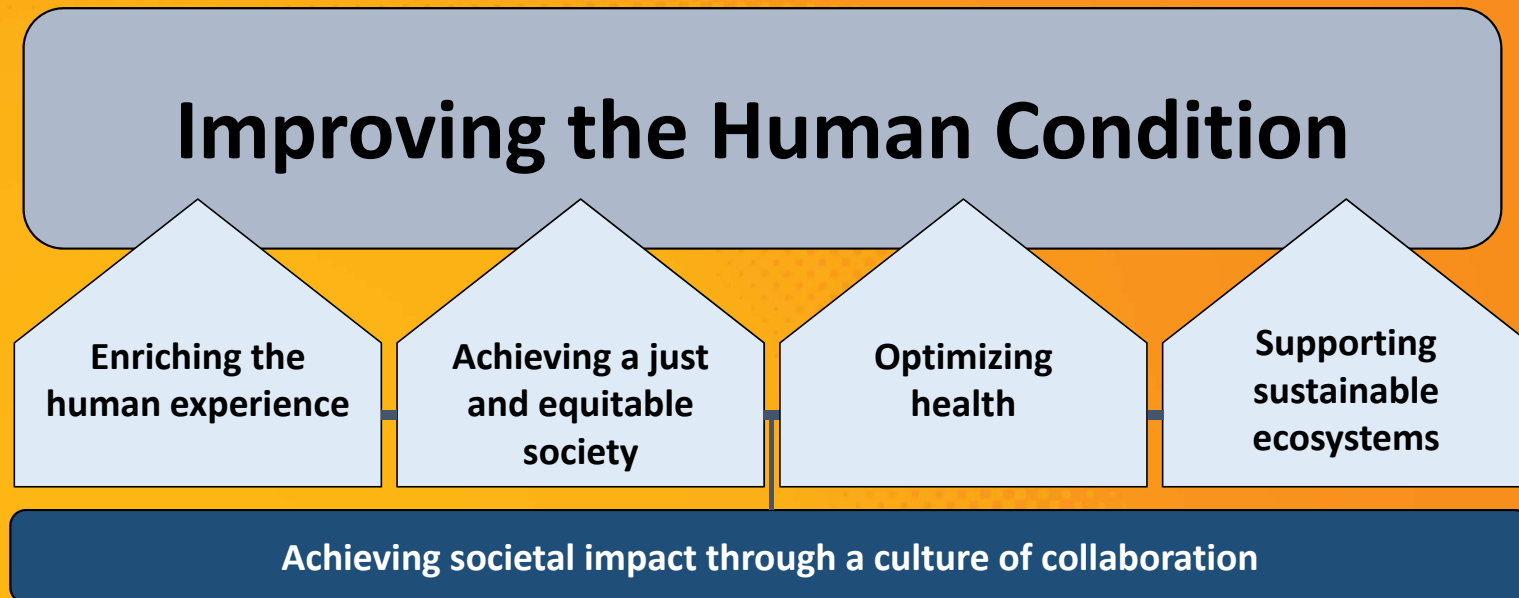
Beneficial Community Impact

Technology commercialization and economic development

SRPPC: 30 VCU members.
More than 350 faculty survey responses.



Four Integrated Themes United by a Common Goal







GOAL 1: Reduce the impact of inequality, discrimination, and population-level disparities

by

developing new knowledge and solutions at the levels of practice, services, and policy

GOAL 2: Prioritize research that reflects the perspectives and needs of diverse communities

to

create knowledge that is translational and has a real world impact





GOAL 1: Improve health outcomes

by

leveraging emerging technologies, data science, AI and machine learning

GOAL 2: Reduce the burden of disease and improve wellness

through

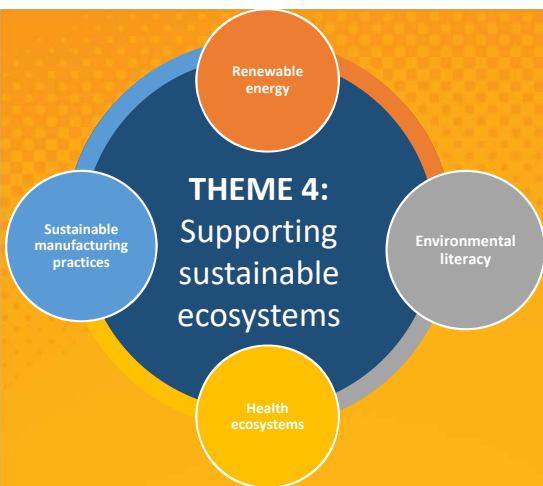
transformative basic, translational, clinical and population research

GOAL 3: Generate new medications, biologic treatments, interventions, devices, and vaccines

by

actualizing discoveries made at VCU





GOAL 1: Advance renewable energy sources, clean air and water, and resilient natural systems

through

the development and application of novel, evidence-based solutions

GOAL 2: Create environmentally and economically sustainable materials, products, processes, and infrastructure

GOAL 3: Educate our communities and inform positive changes in environmental policy and practice at local, state and national scales

by

effectively translating and communicating our data and published scholarship



VCU



Goals for National Prominence by 2025

Increase student/faculty engagement in research and innovation

Top 50

public research university,
federal funding
(NSF HERD)

\$400M

R&D sponsored
award funding

Top 25

urban research university,
federal funding

Achieving institutional and societal impact through a culture of collaboration

>10% increase

in licensing and
royalty revenue

25%

increase
in FACR funding

**Grow
Top 50**

research programs
(Blue Ridge and others)

VCU NSF HERD Ranking (2018) Relative to Peer Public Institutions

Institution	Rank	All R&D expenditures	Source of Funds (based on expenditures)					
			Federal government	State & local government	Institution funds	Business	Nonprofit organizations	All other sources
Iowa State U.	46	361,206	147,679	42,934	132,729	23,433	10,811	3,620
Washington State U.	47	360,522	151,133	49,084	133,867	14,595	7,643	4,200
U. Kansas	48	339,046	155,147	34,404	106,332	19,095	23,406	662
Uniformed Services U. of the Health Sciences	49	308,326	218,702	0	83,866	0	5,758	0
U. Nebraska, Lincoln	50	308,053	103,565	55,290	116,747	18,284	4,976	9,191
Florida State U.	51	297,661	147,184	6,249	124,119	2,411	12,961	4,737
Louisiana State U., Baton Rouge	52	286,726	84,309	69,369	101,756	14,156	10,269	6,867
U. Oklahoma, Norman and Health Science Center	53	283,638	149,317	37,673	68,878	11,401	10,226	6,143
Medical U. South Carolina	54	282,253	142,639	4,208	92,828	21,320	12,453	8,805
U. Hawaii, Manoa	55	276,388	167,030	19,882	72,070	856	14,212	2,338
Temple U.	56	276,011	155,312	4,951	73,814	18,956	15,909	7,069
U. Massachusetts, Medical School	57	274,211	194,953	82	44,237	12,585	18,262	4,092
Oregon State U.	58	272,433	171,805	52,784	23,809	8,211	2,294	13,530
U. Connecticut	59	269,685	144,048	6,076	88,272	10,867	15,312	5,110
U. Tennessee, Knoxville	60	259,607	117,776	14,370	115,857	6,219	2,669	2,716
U. Texas Health Science Center, Houston	61	258,411	128,232	38,021	38,915	14,773	21,100	17,370
SUNY, Polytechnic Institute	62	257,358	41,795	107,233	25,287	79,502	3,522	19
U. Missouri, Columbia	63	256,183	112,485	2,302	122,016	5,156	7,473	6,751
U. New Mexico	64	251,322	169,952	4,268	58,849	2,217	14,345	1,691
Virginia Commonwealth U.	65	246,190	140,363	25,822	58,282	8,346	13,377	0
SUNY, Stony Brook U.	66	245,016	136,241	8,581	81,100	5,202	9,982	3,910
Mississippi State U.	67	244,102	89,012	77,637	63,578	4,992	7,256	1,627
Wayne State U.	68	238,859	111,018	9,341	80,992	8,165	24,021	5,322
U. California, Santa Barbara	69	235,164	113,388	6,028	49,586	23,482	24,439	18,241
U. South Carolina, Columbia	70	231,300	94,093	1,603	114,194	5,316	9,574	6,520
Utah State U.	71	219,397	159,447	26,560	22,671	4,664	321	5,734
Kansas State U.	72	218,299	80,436	37,562	83,339	6,709	8,011	2,242
U. Central Florida	73	215,332	97,539	11,071	85,660	11,225	5,767	4,070
Clemson U.	74	213,458	73,735	21,357	94,091	19,500	4,546	229
Auburn U., Auburn	75	212,925	58,488	32,070	105,786	5,489	1,390	9,702

National prominence by 2025, through commitment to research

Investments Aligned with Strategic Goals

- Startups aligned with strategic themes
- Cluster hires (field shapers) of research faculty
- Research infrastructure: institutes, centers & core resources/equipment
- Capacity building & workforce development
- Team science: collaborative research
- Community engagement & partnerships
- Pilot seed & larger projects
- Entrepreneurship through technology & commercialization
- Sustainable business & financial models

Societal Transformation through Program Development

- Culture of collaboration & excellence – integration of themes
- Large & multi-PI grants
- Increased integration of VCU/VCU Health System
- Research support of students/trainees/clinical staff
- Discoveries, innovation & economic development
- Succession planning
- Increased giving/fundraising
- Outreach – statewide & beyond
- Groundbreaking research
- Research incentives
- Sustainable programs to recruit/retain diverse trainees/students

Strategic Research Priorities Plan – Next steps

- Finalize Strategic Research Priorities plan through stakeholder input - ongoing
- Initiate financial planning for investment in thematic programs
- Complete implementation plan in Spring 2020
- Start implementation of strategic plan in July 2020

One VCU Research

Improving the Human Condition



Hospitals:

- VCU Medical Center
- Children's Hospital of Richmond at VCU
- Community Memorial Hospital

Comprehensive Care Centers:

- VCU Massey Cancer Center
- Pauley Heart Center
- Harold F. Young Neurological Center
- Hume-Lee Transplant Center

Research Institutes, Centers and Cores



Colleges and Schools:

- College of Engineering
- College of Health Professions
- College of Humanities and Sciences
- L. Douglas Wilder School of Government and Public Affairs
- School of Dentistry
- School Education
- School of Business
- School of Medicine
- School of Nursing
- School of Pharmacy
- School of Social Work
- VCU Life Sciences

Next steps, questions, comments and feedback

ATTACHMENT B

Virginia Commonwealth University Proposed Program Brief

Proposal to Create a Bachelor of Science in Finance

Overview

Virginia Commonwealth University seeks approval to initiate a Bachelor of Science (B.S.) degree program in Finance (B.S. in Finance) at the main campus in Richmond, Virginia. The proposed degree program will offer two options: 1) no concentration and 2) a concentration in Risk Management and Insurance. The proposed program will be administered by the Department of Finance, Insurance & Real Estate, which resides in the School of Business.

Method of Delivery

This program is conducted in a traditional, face-to-face classroom setting. Physical space to facilitate class sessions is available and will be used.

Target Implementation Date

The target date of the program's initiation is the fall semester of 2020.

Demand and Workforce Development

Graduates of the proposed B.S. in Finance program will be qualified to perform financial analysis and planning, financial management, financial advising and financial marketing and sales activities in corporations, nonprofit organizations and governments. Higher than average job growth in these areas is expected both in Virginia and across the nation.

External Competition

One public institution in the Commonwealth of Virginia, George Mason University (GMU), has a degree program similar to the proposed B.S. in Finance at Virginia Commonwealth University. George Mason University offers a B.S. in Finance which requires 120 credit hours, including Mason core requirements, business foundations, business core and major requirements.

Target Population

No specific groups will be targeted for the proposed degree program.

Impact on Existing Programs/Policies

The current B.S. in Business degree program has a concentration in Finance. The proposed B.S. in Finance is an expansion of the existing concentration in the current B.S. in Business. The Finance concentration in the B.S. in Business will be closed when B.S. in Finance is approved. The proposed B.S. in Finance will not affect any other VCU degree programs.

Impact on Faculty

The Department of Finance, Insurance and Real Estate Department has 15 full time faculty members. All faculty are tenured or tenure-track. The tenured and tenure-track faculty members teaching core and required courses in the B.S. in Finance degree program have earned PhD's in Finance from accredited universities, while several non-tenure track professors have substantial financial industry careers and significant postgraduate academic training in finance. The Department of Finance, Insurance, and Real Estate has all of the faculty and classified support needed to initiate and sustain the proposed program through the target year, 2024-25.

Funding

The Department of Finance Insurance and Real Estate will reallocate funds to support the proposed program. All resources used for the existing B.S. in Business concentration in Finance, which will be closed with the initiation of B.S. in Finance, will be used to support the proposed B.S. in Finance program. Faculty teaching loads will be reallocated from the existing concentration to the proposed program. The reallocation of funds will not have any impact existing programs.

Next Steps

October 31	University Council Committee on Academic Affairs and University Policies
November 7	University Council
November 18	President's Cabinet
December 13	Board of Visitors

Full Proposal

The 127-page full proposal is attached.

Full proposal can be found in Academic and Health Affairs Committee material.

Virginia Commonwealth University Proposed Program Brief

Proposal to Create a Master of Science in Finance

Overview

Virginia Commonwealth University seeks approval to initiate a Master of Science in Finance (M.S. in Finance) degree program at the main campus in Richmond, Virginia. The proposed program will be administered by the Department of Finance, Insurance & Real Estate, which resides in the School of Business.

Method of Delivery

This program is conducted in a traditional, face-to-face classroom setting. Physical space to facilitate class sessions is available and will be used.

Target Implementation Date

The target date of the program's initiation is the fall semester of 2020.

Demand and Workforce Development

The proposed M.S. in Finance degree program responds to the current needs of the Commonwealth of Virginia and the nation as a whole by preparing students for advanced careers in financial analytics, financial management, financial operations, financial planning, and financial research. The United States Bureau of Labor Statistics' Employment Projections¹ forecasts the 10-year employment growth for Financial Managers at 16%, and the 10-year employment growth for Financial Specialists at 10.0%.

External Competition

There are no M.S. in Finance degree programs offered at public institutions in the Commonwealth of Virginia.

Target Population

The target population for the proposed program is students who have completed an undergraduate degree and seek to advance their studies in finance through the attainment of a graduate degree. Undergraduates from all disciplines with little or no work experience who wish to improve their chances of gaining employment and advancing in the field of finance will also be targeted for the proposed program.

Impact on Existing Programs/Policies

The VCU School of Business currently offers a Master of Science in Business with a concentration in Finance. The creation of a Master of Science in Finance degree program will allow graduates to be more competitive in employment opportunities. The proposed stand-alone degree would more accurately describe the knowledge, skills and abilities of the graduates from the program. If the proposed program is approved by the M.S. in Business with a concentration in Finance will close.

The School of Business also offers, and will continue to offer, a Master of Business Administration (M.B.A.) with a concentration in corporate finance. The learning outcomes for the M.B.A. differ greatly from the M.S. in

¹ United States Bureau of Labor Statistics, "Employment Projections by Detailed Occupation", accessed 22 November 2018, <https://www.bls.gov/emp/tables/emp-by-detailed-occupation.htm>.

Finance program. The learning outcomes for the M.B.A. focus on all fields of business, whereas M.S. in Finance will focus exclusively on skills needed for the finance industry.

Impact on Faculty

The Department of Finance, Insurance, and Real Estate has all of the faculty and classified support needed to initiate and sustain the proposed program through the target year, 2024-25. Faculty teaching loads will be reallocated from the exiting M.S. in Business with a concentration in Finance to the proposed program.

Funding

The Department of Finance Insurance and Real Estate will reallocate funds to support the proposed program. All resources used for the existing M.S. in Business with a concentration in Finance will be reallocated to support the proposed M.S. in Finance program upon initiation of the program. Faculty teaching loads will be reallocated from the existing concentration to the proposed program. The reallocation of funds will not have any impact existing programs.

Next Steps

November 12	University Graduate Council
November 21	University Council Committee on Academic Affairs and University Policies
*December 2	President's Cabinet
*December 5	University Council
December 13	Board of Visitors

*Proposal may be presented at the President's Cabinet prior to the University Council meeting to facilitate Board of Visitors Review and Approval.

Full Proposal

The full proposal is attached.

Full proposal can be found in Academic and Health Affairs Committee material.

Proposed Intent to Discontinue

Virginia Commonwealth University (VCU) requests approval to discontinue the Post-Baccalaureate Certificate (PBC) in Autism Spectrum Disorder program (CIP code: 13.1013). The program is located in the School of Education, Department of Counseling and Special Education.

Background

VCU has offered the Post-Baccalaureate Certificate (PBC) in Autism Spectrum Disorder program since fall 2008 in the School of Education. Admission to the program was suspended in 2018. Since 2012, the program has struggled to enroll students in sufficient number to meet the required State Council of Higher Education for Virginia (SCHEV) productivity and viability standards. In fall 2018, faculty and administration agreed unanimously to discontinue the degree program.

Rationale for Intent to Discontinue

The Post-Baccalaureate Certificate (PBC) in Autism Spectrum Disorder did not lead to a licensure/endorsement area, but with a rapid rise in numbers of students identified on the Autism spectrum, this certificate was popular when it began. However, through grant-funding to the Autism Center for Excellence, a VCU School of Education Center, free online modules were created that were available for the general public to complete. The modules covered the same content that the courses of the certificate did, and used the same Autism Center for Excellence staff who taught the courses in the certificate program. Because of the availability of the free online modules, enrollment rapidly began to decline in the certificate program with no student enrollment since 2017. Enrollment and graduation data indicates that the degree program will not meet SCHEV's productivity and viability standards when reviewed during the next review cycle. Discontinuing the degree program at this time will remove the program from VCU's degree program inventory.

Critical Shortage Area

The Post-Baccalaureate Certificate (PBC) program in Autism Spectrum Disorder is not in a critical shortage area.

Teach-Out Plan

There are currently no students enrolled in Post-Baccalaureate Certificate (PBC) in Autism Spectrum Disorder program. No teach-out plan is needed.

Stopped Out Students

There are no stopped out students in the Post-Baccalaureate Certificate (PBC) in Autism Spectrum Disorder program.

Virginia Commonwealth University Proposed Program Brief

Proposal

The VCU School of Education seeks approval to create a nine-credit Baccalaureate Certificate in Disability Studies.

Overview

The proposed Baccalaureate Certificate in Disability Studies is designed to prepare undergraduate students for a complex, global world comprising an increasingly diverse society that includes individuals with disabilities. The focus of the certificate program is on engaging students in interdisciplinary experiences to help them understand contemporary disability issues, research, and practices within a social, political, cultural, and historical context.

Method of Delivery

The certificate will be offered in traditional classroom and online formats. The university has adequate classroom and lab space. The university utilizes Blackboard to deliver content and Zoom Desktop Conferencing for face-to-face discussions and presentations. The university has sufficient resources to deliver this certificate program.

Target Implementation Date

Fall 2020

External Competition

Not applicable.

Target Population

The target audience is undergraduate students from a broad range of disciplines across Virginia Commonwealth University, particularly in those areas in which students do not typically engage in content or experiences with individuals with disabilities. We anticipate recruiting students majoring in the arts, business, engineering, humanities and sciences, as well as social work, and education

Impact on Existing Programs/Policies

No existing program will be impacted by the creation of the Baccalaureate Certificate in Disability Studies.

Impact on Faculty

Faculty teaching within the certificate program will be drawn from existing full-time and adjunct faculty; no new faculty hires will be necessary. No more than two adjunct faculty will be employed within a given academic year.

Funding

Resources required to support the certificate program are met by existing resources to support current programs. These include student support services (e.g., advising, information technology, help desk, and library), faculty support services (e.g., copying), and general administration (budgeting, forecasting, and enrollment management).

Benefit to the university

Virginia Commonwealth University has a strong commitment to diversity, equity and inclusion as described in its strategic plan. VCU's School of Education has a similar mission and strategic plan to address the needs of

Virginia’s urban school divisions and the surrounding communities. The Baccalaureate Certificate in Disability Studies aligns with VCU’s commitment to diversity that provides a climate of inclusion and a dedication to addressing disparities wherever they exist.

Next Steps

October 31, 2019	University Council on Academic Affairs and University Policy
November 7, 2019	University Council
November 18, 2019	President’s Cabinet
December 13, 2019	Board of Visitors
December 18, 2019	State Council of Higher Education for Virginia (SCHEV)

Name of Certificate: Baccalaureate Certificate in Disability Studies

CIP Code: 05.0210

Initiation Date: Fall 2020

Description of Certificate

The proposed Baccalaureate Certificate in Disability Studies is designed to prepare undergraduate students for a complex, global world comprising an increasingly diverse society that includes individuals with disabilities. The focus of the certificate program is on engaging students in interdisciplinary experiences to help them understand contemporary disability issues, research, and practices within a social, political, cultural, and historical context.

Students who complete the Baccalaureate Certificate in Disability Studies will be well equipped to meet the skills and competencies that many employers seek, including community-based and governmental agencies. As individuals with disabilities enter the workforce in increasing numbers, able-bodied employees who leave college having gained first-hand knowledge of and experience with disabled individuals will be in an excellent position to understand the needs of individuals with disabilities and to interact with them in a broad range of employment settings. The proposed program will emphasize both oral and written communication to improve students' practice in those vital skills prior to entering the workplace.

Target Audience

The target audience is undergraduate students from a broad range of disciplines across Virginia Commonwealth University, particularly in those areas in which students do not typically engage in content or experiences with individuals with disabilities. We anticipate recruiting students majoring in the arts, business, engineering, humanities and sciences, as well as social work, and education.

Time to Complete

It is anticipated that the majority of students will be enrolled on a full-time basis. Degree-seeking students can take courses any time during the program. It is anticipated that students who are enrolled fulltime can complete the program in 2 years (3 consecutive semesters), with students enrolled in one of three required courses per semester. Students enrolled part-time, maintaining a course load of six credit hours per semester will complete the program in two years (3 consecutive semesters).

Admission

The following admission requirements will apply to all applicants:

- Successful completion of 30 credit hours;
- Have a GPA of 2.5 or better;
- Having a declared major;
- Submit a completed application and the application fee; and
- Submit a one-page essay that describes the student's interest in the program and discusses plans to apply the material to the plan of study.

International students must have the minimum TOEFL score that is required by their major.

Curriculum Requirements

The curriculum focuses on preparing students from a broad range of disciplines to understand issues faced by people with disabilities and their relationship to society. Students will complete coursework to develop specific knowledge related to cultural attitudes about disability and how attitudes influence policy. Students will gain an understanding of the legal and social contexts of disability law. The capstone seminar will allow each student to apply the content within the program in a unique manner to his or her major field of study.

Program Requirements

Number of Credit Hours

9 credit hours of undergraduate level courses

New courses are denoted with an asterisk.

Fall:	*IDDS 200 Disability History and Culture (3 credits)
Spring:	*IDDS 201 Disability, Diversity, and Human Rights (3 credits)
Summer/Fall:	*IDDS 300 Applications of Disability Studies (3 credits)

Faculty

Faculty members utilized in the certificate program include faculty from the Department of Counseling and Special Education and the Partnership for People with Disabilities. All faculty teaching in the certificate program will meet the following minimum requirements: 1) a doctoral or master's degree in a disability-related field (e.g., Special Education, Rehabilitation Counseling); 2) a minimum of five years of experience in their discipline; 3) college level teaching experience; and 4) significant life experience with individuals with disabilities.

Faculty teaching within the certificate program will be drawn from existing full-time and adjunct faculty; no new faculty hires will be necessary. No more than two adjunct faculty will be employed within a given academic year.

Course Delivery Format

This program is available in a hybrid format, which includes traditional classroom and online delivery. Traditional classroom time involves speakers with significant experience and expertise in disabilities. Online synchronous and asynchronous delivery will be used to teach some of the didactic content (e.g., disability history) and to enable students to view videos prior to attending class sessions where the videos will be discussed. Both physical space and software to facilitate class sessions are available and will be used. For online course delivery, the University uses Blackboard to deliver content and Zoom Videoconferencing for face-to-face discussions and presentations. Faculty assigned to teach in the certificate program have been trained in best practices for traditional instruction and online instruction by the VCU Academic Learning Transformation Laboratory. The University has sufficient resources to deliver this certificate program.

Resources

Resources required to support the program include existing resources to support current programs such as student support services (enrollment, help desk, and library), faculty support services (copying and contracts), and general administration (budgeting and forecasting). The certificate program will not have a program director. Existing faculty will teach certificate courses. No new positions will be created to initiate the certificate. The University has adequate resources to offer and sustain this certificate program.

Gainful Employment

The certificate is not a Gainful Employment program. The certificate will not come under Gainful Employment regulations.

Course Descriptions

New courses are denoted with an asterisk.

***IDDS 200 Disability History and Culture**

Semester course; 3 lecture hours. 3 credits. Provides an interdisciplinary exploration of disability, within American society and internationally, throughout history. Examines how disability studies, as a field of study, views disability as a social, political, historical, and cultural phenomenon. The class examines cultural attitudes about disability and how they influence policies that are designed to address disability.

***IDDS 201 Disability, Diversity, and Human Rights**

Semester course; 3 lecture hours. 3 credits. An exploration of diverse populations and the variety of ways in which people learn and identify themselves, and how they interact with and connect with the world at large. Includes intersections between disability rights and human rights by examining legal and social contexts of disability law in the United States and globally.

***IDDS 300 Application of Disability Studies**

Semester course; 3 seminar hours. 3 credits. This course provides students the opportunity to apply material from previous courses in Disability Studies to their own disciplinary major. Students will engage with faculty and community professionals in a seminar format and will intensively research a specific topic and complete a project relevant to their disciplinary field.

Virginia Commonwealth University

Proposed Program Brief

Proposal

The Virginia Commonwealth University School of Education requests approval to change the name of the proposed Doctor of Philosophy (Ph.D.) in Special Education and Disability Policy to the Doctor of Philosophy (Ph.D.) in Special Education.

Overview

The proposal requesting permission to initiate the proposed Ph.D. in Special Education and Disability policy was approved by the Board of Visitors on May 11, 2018. The proposal was submitted to the State Council of Higher Education for Virginia (SCHEV) on May 14, 2018. Per SCHEV policy, proposed degree programs at the doctoral level are required to have a site visit by at least two qualified external reviewers and one SCHEV staff member. The site visit for the Ph.D. in Special Education and Disability Policy occurred on April 17, 2019. The written report from the external reviewers indicated there was lack of equity in the courses and the required experiences for the two focus areas (i.e., special education, disability policy). To rectify the inequity, the School of Education requests approval to change the name of the proposed degree program to reflect the single focus in special education.

Method of Delivery

This program will be delivered in the traditional face-to-face format.

Target Implementation Date

Fall 2020

Demand and Workforce Development

The need for doctoral level special education leadership personnel prepared to assume faculty positions preparing special educators and early intervention personnel has been fully documented and shows no signs of abating (Robb, Smith, & Montrosse, 2012) even while the number of special education doctoral granting programs have increased over the past decade. Robb, et al. (2012) document that the acute shortage of special education faculty remains and is expected to increase sharply over the next several years due to (1) the continued need to maintain capacity within special education teacher training programs, and (2) retirements and attrition among current faculty members in special education departments across the nation.

National employment predictions for 2016 to 2026 show a 10% increase in the need for postsecondary education teachers. Virginia data also makes a strong case for the need for faculty at state universities as well as the need to prepare the next generation of special educators to meet the needs of children and youth with disabilities from birth through age 21. According to the Virginia Employment Commission¹, job opportunities for teachers in post-secondary education are projected to increase 11.31% from 2016 to 2026. Although these projections do not address individual disciplines, statewide shortages of special education teachers and continued emphasis on special education statewide suggest that faculty in special education will be in high demand. Special Education is ranked as one shortage area in the Commonwealth of Virginia (Virginia Department of Education, 2018), which mirrored the data from over the past ten years.

Target Population

Successful applicants have a master's degree in special education or a related field, with experience working with infants, children, youth, or adults with disabilities as special education teachers, administrators, policy analysts, community nonprofit staff, vocational rehabilitation counselors, and/or therapeutic support personnel.

Duplication

¹ <https://virginiaworks.com/occupational-projections>, Long and Short-Term Occupational Projections.

There are no other doctoral programs in special education at public institutions in the Commonwealth of Virginia.

Impact on Faculty

Existing faculty will be involved in the implementation of the Ph.D. program, including advising students and serving on advisory committees.

Funding

The proposed program was offered as a concentration in the Ph.D. in Education program. There is no need for additional resources until 2022. There will be some need to redirect current resources in 2022, and the goal is to secure external funding to support doctoral students and additional program improvements.

Next Steps

October 31	University Council Committee on Academic Affairs and University Policies
November 7	University Council
November 18	President's Cabinet
December 13	Board of Visitors

Additional Resources

The full proposal is attached.

Full proposal can be found in Academic and Health Affairs Committee material.

Proposed Intent to Discontinue

Virginia Commonwealth University (VCU) requests to approval to discontinue the Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling program (CIP code: 13.1102). The program is located in the School of Education.

Background

VCU has offered the Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling program since fall 2008 in the School of Education. Since its inception, the program has struggled to enroll students in sufficient number to meet the required State Council of Higher Education for Virginia (SCHEV) productivity and viability standards. In spring 2013, faculty in the program made a unanimous decision to permanently suspend admission into the program due to lack of enrollment. In the fall of 2019, closure of the program was approved by the School of Education.

Rationale for Intent to Discontinue

Enrollment in the Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling program never exceeded a total of four students. There is evidence to conclude that the certificate was not a desirable program for prospective students to seek as it is possible to obtain employment in student affairs without a post-baccalaureate certificate. Enrollment and graduation data indicates that the degree program will not meet SCHEV's productivity and viability standards when reviewed during the next review cycle. Discontinuing the degree program at this time will remove the program from VCU's degree program inventory.

Critical Shortage Area

The Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling is not in a critical shortage area.

Teach-Out Plan

There are currently no students enrolled in the Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling program. No teach-out plan is needed.

Stopped Out Students

There are no stopped out students in the Post-Baccalaureate Certificate (PBC) in College Student Development and Counseling program.

Virginia Commonwealth University Proposed Organizational Change Brief

Proposal

VCU Life Sciences requests permission to change the name of the Center for the Study of Biological Complexity to the Center for Biological Data Science.

Overview

The Center for the Study of Biological Complexity was initially established as research entity within VCU Life Sciences in 2001. A few years later the Center became an academic unit when undergraduate and masters programs in Bioinformatics were initiated within the Center. In the last year (2018-2019), a national search hired a new Director for the Center. With this new leadership and vision in the Center, it has become clear that the focus of the Center has drifted from its original mission, and that the current name fails to capture the present state of its research and training. In addition, as the field of bioinformatics research quickly evolves, the initial focus and name of the Center from almost 20 years ago is now non-competitive with similar and aspirational units nationally and internationally conducting similar research. A “rebranding” is required to appropriately recruit faculty and students, as well as funding.

In 2018, feedback was solicited from a range of stakeholders including faculty, staff, and students within VCU Life Sciences, as well as from administrators at the various colleges and schools within VCU. There was unanimous agreement among all stakeholders in support of a name change for the Center.

Method of Delivery

The proposed name change will not alter the method of delivery for degree programs that are housed in the Center, including the Bachelor of Science (B.S.) in Bioinformatics, the Master of Science (M.S.) in Bioinformatics, and the Professional Master of Science (P.M.S.) in Bioinformatics).

Target Implementation Date

Summer 2020

Demand and Workforce Development

Not applicable.

External Competition

Not applicable.

Target Population

Not applicable.

Impact on Existing Programs/Policies

There is no impact on existing programs and policies. The Center has previously operated under the VCU Life Sciences academic policies and will continue to do so.

Impact on Faculty

There is no impact on faculty.

Funding

The proposed name change will have no impact on university resources. No additional expenses are required to implement the name change. Center administration, administrative support, faculty, and space will not be changed by the proposed name change.

Benefit to the University

Renaming the Center for the Study of Biological Complexity to the Center for Biological Data Science will accurately reflect its focus, activities, and goals. The proposed name change will provide a more inclusive description of the Center's overall activities and programs and the stakeholders served. The proposed name is unique and forward looking. There does not appear to be another Center for Biological Data Science currently in existence. Furthermore, the proposed name change would put VCU and the Center in a position for competition with other similar-fashioned research units for funding. The proposed name change is also an important step towards recruiting undergraduate and graduate students to the university.

Next Steps

September 26, 2019	University Council on Academic Affairs and University Policy
October 4, 2019	University Council
October 14, 2019	President's Cabinet
December 13, 2019	Board of Visitors
December 18, 2019	State Council of Higher Education for Virginia (SCHEV)

Full proposal can be found in Academic and Health Affairs Committee material.

Proposed Intent to Discontinue

Virginia Commonwealth University (VCU) requests approval to discontinue the Master of Bioinformatics (MBIN) degree program (CIP code: 26.1103). The program is located in VCU Life Sciences.

Background

VCU has offered the Master of Bioinformatics (MBIN, non-thesis) degree program since 2004 in VCU Life Sciences, concurrent with a Master of Science (MS BNFO, thesis-oriented) in Bioinformatics degree program. This discontinuation is only for the Master of Bioinformatics degree program. Since 2015 the MBIN degree program has struggled to enroll students in sufficient number to meet the required State Council of Higher Education for Virginia productivity and viability standards.

In fall 2015, VCU Life Sciences faculty discussed the curriculum requirements to determine whether changes would help attract students to the program. At that time, faculty and administration agreed unanimously to discontinue the MBIN degree program and to integrate components of the curriculum into the Master of Science in Bioinformatics program. In fall 2015, admission to the MBIN program was suspended.

Rationale for Intent to Discontinue

Enrollment in the Master of Bioinformatics (MBIN) degree program has steadily decreased. There is evidence to conclude that the program is not a desirable area of interest for students at VCU. The MBIN program has not received any applications since 2015. Enrollment and graduation data indicate that the degree program will not meet SCHEV's productivity and viability standards when reviewed during the review cycle. Discontinuing the degree program at this time will remove the program from VCU's degree program inventory.

Critical Shortage Area

The Master of Bioinformatics (MBIN) degree is not in a critical shortage area.

Teach-Out Plan

There are currently no students enrolled in the Master of Bioinformatics (MBIN) degree program. No teach-out plan is needed.

Stopped Out Students

Per the Office of Records and Registration, there are no stopped out students in the Master of Bioinformatics (MBIN) degree program.

Virginia Commonwealth University

Proposed Organizational Change

Proposal

The College of Health Professions requests permission to change the name of the Department of Radiation Sciences to the Department of Radiologic Sciences.

Overview

The purpose of the proposed organizational change is to change the name of the department to accurately reflect its focus, activities, and goals. The Department of Radiation Sciences administers the Bachelor of Science in Radiation Sciences with concentrations in radiography, nuclear medicine technology, radiation therapy, and diagnostic medical sonography.

During the 2016-2017 academic year, the administrative leadership recognized the need for the department name to accurately reflect the breadth of academic programs offered within the medical imaging and therapeutics professions. The departmental name of “Department of Radiation Sciences” no longer reflects the true nature of the department’s current responsibilities and expertise. On several occasions, faculty and administrators have discussed in depth the department’s activities, mission, and the future direction of the department. Faculty and administrators agreed that given its contribution to the college and the university, it is critical that an academic unit of such importance have a name that accurately reflects its activities and mission. In the spring 2018, feedback was solicited from a range of stakeholders including faculty, staff, and students, and all groups support the name change. The faculty vote of yes for the department name change was unanimous.

Method of Delivery

Not applicable. The renamed department administers the Bachelor of Science in Radiation Sciences with concentrations in (1) Radiography, (2) Nuclear Medicine, (3) Radiation Therapy, and (4) Diagnostic Medical Sonography.

Target Implementation Date

Immediate.

Demand and Workforce Development

Not applicable.

External Competition

Not applicable.

Target Population

Not applicable.

Impact on Existing Programs/Policies

The request for the name change will not impacting existing programs and policies at Virginia Commonwealth University.

Impact on Faculty

The department is currently home to eight faculty members. The name change will not have an impact on faculty in the department.

Funding

This organizational move entails minimal expenses for business cards and department signage. Administrative support for the department already exists and no additional staff are required.

Benefit to the university

The proposed name change will provide a more accurate description of the department's mission, overall activities, and developing research focus. The proposed name is emerging nomenclature across the nation. The proposed name change will help the department align with similar departments at VCU peer institutions as identified by the State Council of Higher Education for Virginia (SCHEV) and facilitate student recruitment. The proposed name will also help with developing the department's reputation among other academic units nationwide with the similar goals and programming.

Next Steps

September 26, 2019	University Council's Committee on Academic Affairs and University Policy
October 3, 2019	University Council
October 14, 2019	President's Cabinet
December 13, 2019	Board of Visitors

Full proposal can be found in Academic and Health Affairs Committee material.

Virginia Commonwealth University Proposed Organizational Change

Proposal

The College of Health Professions requests permission to change the name of the Department of Clinical Laboratory Sciences to the Department of Medical Laboratory Sciences.

Overview

The purpose of the proposed organizational change is to change the name of the department to accurately reflect the current nomenclature used for the credentials of a certified medical laboratory scientist and reduce the confusion related to various names used for these professionals. The proposed name change will not impact curricular offerings in the department.

During the 2017-2018 academic year, the administrative leadership recognized the need to change the name of the department to reflect the current nomenclature in the field of medical laboratory science. On several occasions, faculty and administrators have discussed in depth the future direction of the department. In the spring 2018, feedback was solicited from a range of stakeholders including faculty, staff, and students, and all groups support the name change. The faculty vote of yes for the department name change was unanimous.

Method of Delivery

Not applicable. The department administers the Bachelor of Science Clinical Laboratory Sciences and the Master of Science in Clinical Laboratory Sciences.

Target Implementation Date

Immediate.

Demand and Workforce Development

Not applicable.

External Competition

Not applicable.

Target Population

Not applicable.

Impact on Existing Programs/Policies

The request for the name change will not impacting existing programs and policies at Virginia Commonwealth University.

Impact on Faculty

The department is currently home to six faculty members. The name change will not have an impact on faculty in the department.

Funding

This organizational move entails minimal expenses for business cards and department signage. Administrative support for the department already exists and no additional staff are required.

Benefit to the university

The proposed name change will provide a more accurate description of the current nomenclature used for the credentials of a certified medical laboratory scientist and reduce the confusion related to various names used for

these professionals. The proposed name will also help with developing the department's reputation among other academic units nationwide with the similar goals and programming.

Next Steps

October 31, 2019	University Council's Committee on Academic Affairs and University Policy
November 7, 2019	University Council
November 18, 2019	President's Cabinet
December 13, 2019	Board of Visitors

Full proposal can be found in Academic and Health Affairs Committee material.

Virginia Commonwealth University

Proposed Program Brief

Proposal

The VCU School of Nursing and the VCU da Vinci Center seek approval to create a 12-credit Graduate Certificate in Health Care Innovation.

Overview

The purpose and focus of the proposed graduate certificate program is to prepare students to become leaders in developing products and innovative solutions in the area of health care. Students will acquire skills and knowledge necessary to identify problems and implement solutions that foster high quality, safe and accessible health care. Graduates of this program will have specialized skills and knowledge to lead innovation health care teams. The desired initiation date is fall 2020. The certificate program will utilize established graduate online courses from the School of Nursing Master of Science with a concentration in Nursing Leadership and Organizational Science, and established classroom based courses at the da Vinci Center of Innovation Masters of Product Innovation program.

This certificate program targets healthcare professionals and individuals outside of healthcare fields who have innovative ideas for health care product development and solutions. This certificate program will provide students working in healthcare institutions and industry with the knowledge and experiences for developing and implementing new products and solutions to meet the challenges of today's healthcare environment. The Graduate Certificate in Health Care Innovation will hone skills in leadership and creativity, and the knowledge necessary to promote and develop innovative products and implement solutions that foster high quality, safe and accessible healthcare. Specifically, graduates will attain specialized skills and knowledge needed for leading innovation teams in problem identification, product development, creative design and research, stakeholder analysis, prototype testing, marketing and commercialization.

Method of Delivery

The certificate will be offered in traditional classroom and online formats. The university has adequate classroom and lab space. The university utilizes Blackboard to deliver content and Zoom Desktop Conferencing for face-to-face discussions and presentations. The university has sufficient resources to deliver this certificate program.

Target Implementation Date

Fall 2020

Demand and Workforce Development

The need for innovative solutions to healthcare rising costs, inconsistent care, and suboptimal health outcomes nationally is real. According to the statistics provided by the Centers for Medicare and Medicaid Services, national health spending is projected to grow at an average rate of 5.5 percent per year for 2017-26 and to reach \$5.7 trillion by 2026. While the U.S. has seen improvements in several health outcomes in recent years, it still lags behind other comparable countries on several measures including higher rates of overall mortality, premature death, and preventable death amenable to healthcare. According to the U.S Department of Labor Bureau of Labor Statistics, healthcare workers are projected to be among the fastest growing occupational groups during the 2016-2026 projections and are predicted to contribute to about one-fifth of all new jobs by 2026 to meet the demands of the aging population, longer life expectancies, and growing rates of chronic conditions that will drive the demand for healthcare services. Locally, the healthcare and social assistance industries in Virginia are projected to employ 97,087 by 2024. It is imperative that frontline healthcare providers have knowledge and skills to design and implement innovative solutions to address the challenges of healthcare today and in the future.

As recently as 2010, Governor McDonnell appointed community leaders to the Virginia Health Reform Initiative (VHRI) Advisory Council. This effort has since been renamed as the Virginia Health Innovation Network, illustrating the growing impetus and continued focus on healthcare innovation in our state. As such, there is a need for supplemental specialized education surrounding the development and implementation of innovative solutions to healthcare rising costs and improving quality and access to care. This program puts VCU at the forefront of meeting this unmet educational need in the state of Virginia.

External Competition

Not applicable.

Target Population

The target population for the proposed program are health care professionals, individuals working within the health care arena, or individuals in the product development arena who want to enter the health care product space.

Impact on Existing Programs and Policies

No existing program will be impacted by the creation of the Graduate Certificate in Health Care Innovation.

Impact on Faculty

Faculty appointments in the certificate program are established by recommendation of the Associate Dean of Academic Affairs in the School of Nursing for the NURS courses and the Executive Director of the da Vinci Center for Innovation for the INNO courses. All faculty hold graduate degrees in their respective fields, i.e., nursing leadership, business, arts, product development and entrepreneurship. No adjunct faculty will be employed.

Funding

Resources required to support the certificate program are met by existing resources to support current programs. These include student support services (e.g., advising, information technology, help desk, and library), faculty support services (e.g., copying), and general administration (budgeting, forecasting, and enrollment management). Full-time faculty in the School of Nursing and the da Vinci Center will be the primary instructors in the proposed graduate certificate program. No new positions will be created to initiate and sustain this graduate certificate program. No new resources are needed to offer this program. The university has adequate resources to offer and sustain this graduate certificate program.

Benefit to the University

The Graduate Certificate in Health Care Innovation aligns with the VCU's initiative to support development of new innovations in health care and will provide students with knowledge skills to create innovative solutions to real world problems in health care.

Next Steps

September 26, 2019	University Council on Academic Affairs and University Policy
October 4, 2019	University Council
October 14, 2019	President's Cabinet
December 13, 2019	Board of Visitors
December 18, 2019	State Council of Higher Education for Virginia (SCHEV)

Full proposal can be found in Academic and Health Affairs Committee material.

Virginia Commonwealth University

Proposed Program Brief

Proposal

The VCU C. Kenneth and Dianne Wright Center for Clinical and Translational Research requests approval to create a Post-Baccalaureate Certificate in Clinical Research.

Overview

The purpose of the proposed Post-baccalaureate Certificate in Clinical Research is to train students to conduct high quality clinical research in an ethical and responsible manner. Graduates will possess the basic knowledge and skills necessary to conduct ethical clinical research applying Good Clinical Practice guidelines, applicable federal regulations, and sound clinical research study design. Completion of the certificate will prepare graduates for employment within the clinical research professional ecosystem or advance their career as a clinical research professional (e.g., Clinical Scientist, Clinical Research Coordinator, Clinical Research Nurse, Clinical Research Regulatory Affairs/Quality Assurance Specialist). Graduates will also be well positioned to obtain professional organization certification as a clinical research professional (e.g., Society of Clinical Research Associates, Association of Clinical Research Professionals).

Method of Delivery

All required courses for the certificate program will be conducted in a traditional, face-to-face classroom setting with the exception of two elective course offerings (EPID 580 and HADE 646) which are offered in a blended/hybrid format.

Target Implementation Date

Fall 2020

External Competition

None

Target Population

The certificate is intended for individuals with a health-related bachelor's degree and health related work experience who aspire to gain entry into the clinical research professional field and for those seeking additional education to facilitate career progression or movement into a different area within clinical research.

Impact on Existing Programs/Policies

None

Impact on Faculty

Existing faculty will teach certificate courses. Faculty members teaching in the post-baccalaureate certificate program will come from the Department of World Studies, the Wright Center for Clinical and Translational Research, the Office of Research and Innovation, and the Department of Biostatistics. No new positions will be created to initiate the certificate program.

Funding

The VCU C. Kenneth and Dianne Wright Center for Clinical and Translational Research has the resources to initiate and sustain the certificate program.

Next Steps

October 31
November 7

University Council Committee on Academic Affairs and University Policies
University Council

November 18	President's Cabinet
December 10	Board of Visitors

Full Proposal

The full proposal is attached.

Full proposal can be found in Academic and Health Affairs Committee material.

ATTACHMENT C

Approval to Issue a Request for Proposals Professional Architectural/Engineering Term Contracts

Background

Facilities Management routinely retains the services of architectural/engineering firms on five-year term contracts to provide professional services for construction and renovation projects, feasibility studies and planning initiatives.

The university will publicly advertise a Request for Proposals seeking qualified firms to start the new contract year in April 2020.

Considerations

This is a request for approval to advertise for architectural/engineering term contracts under the provisions of VCU's management agreement as part of the Restructuring Act. VCU limits spending with each firm to \$1M per year. Each firm has a one-year contract with four renewal options. Currently, 15 firms are included in the university's term contract.

Recommendation

Approve the competitive solicitation to establish a professional architectural/engineering services term contract with multiple firms.

Approval of Project Plans

Sanger Hall 9 Surgery Innovation Suite

Background

VCU seeks approval of project plans to modernize outdated and inefficient 8,100 GSF in Sanger Hall to accommodate VCU School of Medicine's Surgery Innovation Suite. This project was originally approved by the Board of Visitors in May 2017 as part of the 2018-2024 Six Year Capital Plan. The project will provide two large and one small state-of-the-art surgery suites, ICU/recovery room, clinical chemistry/hematology room, locker room and scrub area, and instrument cleaning and sterile storage space. The existing wet laboratories will be renovated to accommodate state-of-the-art wet labs, a reanimation lab, a procedure lab, and a cell culture room.

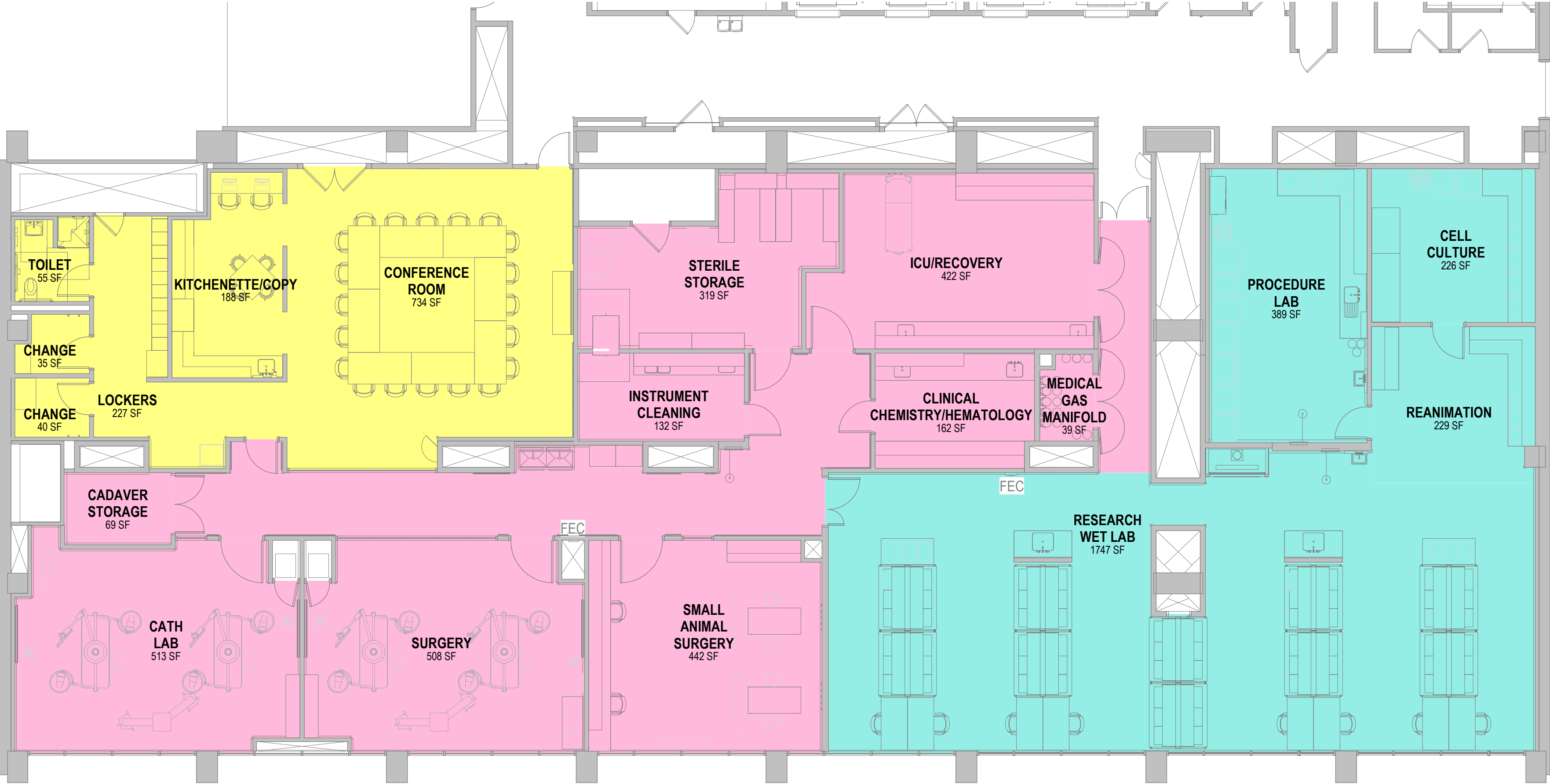
Funding

The cost of the Sanger Hall 9 Surgery Innovation Suite renovation is \$6,187,800 and will be 100% funded from School of Medicine private donor funds.

Recommendation

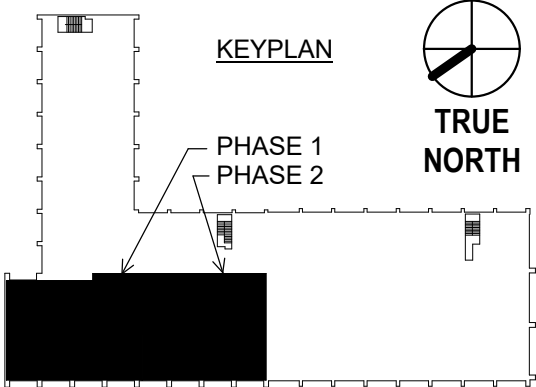
Approve project plans for the Sanger Hall 9 Surgery Innovation Suite.

Approval of Project Plans
Sanger Hall 9 Surgery Innovation Suite



Department Legend

- CONFERENCE ROOM AND COMMON AREA
- RESEARCH LAB
- SURGERY



Approval of Public-Private Education and Infrastructure Act (PPEA) Guidelines

Background

Virginia's Public-Private Education and Infrastructure Act of 2002 (PPEA) enables public bodies to partner with private entities to bring private sector expertise to bear on public projects and encourage innovative approaches to financing construction and renovation. Qualifying projects include public buildings and facilities of all types and certain infrastructure and services.

VCU wishes to explore potential public-private partnerships (P3) under PPEA. VCU guidelines for PPEA provide a flexible framework for soliciting proposals and allow VCU to receive unsolicited proposals for potential P3 projects. Under PPEA guidelines, VCU may also lease large sections of P3 projects, which is restricted under current procurement guidelines for P3 projects. The ability to lease large sections of completed P3 projects may have significant cost benefits for VCU and therefore should be considered.

Considerations

VCU's PPEA guidelines are VCU-specific and written to align with Virginia's PPEA guidelines.

Recommendation

Approve VCU's Public-Private Education and Infrastructure Act (PPEA) Guidelines.

**RESOLUTION OF THE BOARD OF VISITORS OF
VIRGINIA COMMONWEALTH UNIVERSITY**

**AUTHORIZATION AND APPROVAL OF PUBLIC-PRIVATE EDUCATION
FACILITIES AND INFRASTRUCTURE ACT GUIDELINES**

WHEREAS, Chapter 6.1, Title 23 of the Code of Virginia of 1950, as amended (the "Virginia Code") establishes a public corporation under the name and style of Virginia Commonwealth University (the "University") which is governed by a Board of Visitors (the "Board") vested with the supervision, management and control of the University;

WHEREAS, Title 23 of the Virginia Code classifies the University as an educational institution of the Commonwealth of Virginia;

WHEREAS, the University intends to explore the possibility of developing property in the near future and potentially engage in other future projects under the Public-Private Education Facilities and Infrastructure Act of 2002 (PPEA);

WHEREAS, Virginia Code § 56-575.3:1(A) provides, "[a] responsible public entity shall, prior to requesting or considering a proposal for a qualifying project, adopt and make publicly available guidelines that are sufficient to enable the responsible public entity to comply with [the Act]. Such guidelines shall be reasonable, encourage competition, and guide the selection of projects under the purview of the responsible public entity."

WHEREAS, the administration is proposing the adoption of the attached Guidelines for Projects under the Public-Private Education Facilities and Infrastructure Act of 2002;

**NOW, THEREFORE BE IT RESOLVED BY THE BOARD OF VISITORS OF
VIRGINIA COMMONWEALTH UNIVERSITY:**

1. The Board hereby approves the Guidelines for Projects under the Public-Private Education Facilities and Infrastructure Act of 2002 [in substantially the same form as attached hereto].

2. The Board authorizes the President of the University, or his designee, on behalf of the University, to pursue and implement projects under the PPEA according to applicable law and university policy.

3. This Resolution shall take effect immediately upon its adoption.

Approval of Postdoctoral Scholars IRS Section 125 Plan (Cafeteria Plan)

Background

The Office of Postdoctoral Services, part of the Office of the Vice President of Research and Innovation, administers policies governing salaries and benefits for VCU postdoctoral scholars ("postdocs"). An IRS Section 125 plan, or a cafeteria plan, allows employees to pay for certain benefits on a pre-tax basis. Currently VCU does not have an IRS Section 125 plan for postdocs, resulting in these trainees having to pay health insurance premiums with after-tax income. The Office of Postdoctoral Services contracted with Morgan, Lewis & Bockius, LLP to write an IRS Section 125 plan that will allow VCU Payroll to deduct health insurance payments from postdoc salaries on a pre-tax basis.

Considerations

The Office of Postdoctoral Services has obtained the support of the President's Cabinet and, with Board of Visitors approval, is ready to implement this change in order to assist postdocs with this opt-in benefit.

Recommendation

Approve Postdoctoral Scholars IRS Section 125 Plan.

**RESOLUTION OF THE BOARD OF VISITORS OF
VIRGINIA COMMONWEALTH UNIVERSITY**

**AUTHORIZATION AND APPROVAL OF A PREMIUM CONVERSION PLAN FOR
POST DOCTORAL SCHOLARS**

WHEREAS, Chapter 6.1, Title 23 of the Code of Virginia of 1950, as amended (the "Virginia Code") establishes a public corporation under the name and style of Virginia Commonwealth University (the "University") which is governed by a Board of Visitors (the "Board") vested with the supervision, management and control of the University;

WHEREAS, Title 23 of the Virginia Code classifies the University as an educational institution of the Commonwealth of Virginia;

WHEREAS, by Chapter 4.10, Title 23 of the Virginia Code, the University entered into a management agreement with the Commonwealth of Virginia which was enacted as Chapter 594 of the Acts of Assembly of 2008 ("Management Agreement") which, as amended, classifies the University as a public institution of higher education with certain autonomous powers;

WHEREAS, the Management Agreement states that "any new plans, programs and material changes permitted under current law in University employee benefit plans, other than Classified Employee benefit plans, shall be approved by the Board of Visitors, including [...] the authority to implement cafeteria style benefits for University employees other than Classified Employees;"

WHEREAS, the University wishes to permit its postdoctoral scholars to elect to have a portion of their compensation applied toward the cost of medical, dental, and life insurance benefits on a pre-tax basis;

**NOW, THEREFORE BE IT RESOLVED BY THE BOARD OF VISITORS OF
VIRGINIA COMMONWEALTH UNIVERSITY:**

1. The Board hereby adopts the Virginia Commonwealth Premium Conversion Plan, which provides postdoctoral scholars with a choice between cash and benefits under the welfare benefit plans maintained by the University (otherwise known as a "cafeteria style" benefit plan) pursuant to Section 125 of the Internal Revenue Code, [in substantially the same form as attached hereto].

2. The Board authorizes the President of the University, or his designee, on behalf of the University, to execute and deliver any documents required by and related to the plan.

3. This Resolution shall take effect immediately upon its adoption.

ATTACHMENT D

RESOLUTION OF THE BOARD OF VISITORS OF VIRGINIA COMMONWEALTH UNIVERSITY

HUMAN SUBJECTS RESEARCH REGULATION

WHEREAS, the Board of Visitors of Virginia Commonwealth University (the “BOV”) or its designee possess authority to “[m]ake regulations and policies concerning the institution” under §23.1-1301 of the Code of Virginia;

WHEREAS, the university strives to protect human subjects in research conducted by the University and to foster the conduct of human subjects research in compliance with federal standards for such protection;

WHEREAS, human subjects research conducted by Virginia Commonwealth University in the Commonwealth of Virginia shall be governed by university policies and procedures that incorporate the federal regulations and policies for the protection of human subjects at 21 CFR Parts 50 and 56, 45 CFR Part 46, and 45 CFR Parts 160 and 164.

WHEREAS, the BOV takes formal action to make a regulation under the Virginia Register Act, §§2.2-4100, *et seq.*; and

WHEREAS, the BOV desires to make 8 VAC 90-80-10, as outlined in the attached, because it will bring clarity to the appropriate governing regulatory framework for human subjects research.

NOW, THEREFORE, be it resolved by the Board of Visitors of Virginia Commonwealth University as follows:

1. Human subjects research conducted by Virginia Commonwealth University in the Commonwealth of Virginia shall be governed by this regulation, 8 VAC 90-80-10 *Human Subjects Research* is hereby authorized for implementation.
2. The President, or his regulatory agency designee, the Chief Ethics and Compliance Officer, is directed and authorized to take such necessary actions for publication in the Virginia Register, and for later publication in the Virginia Administrative Code.
3. The regulation (see attached) will be effective upon vote of approval.

Proposed Regulation for Agency:
VIRGINIA COMMONWEALTH UNIVERSITY

Human Subjects Research

CHAPTER 80 Human Subjects Research

8VAC90-80-10. Scope.

This chapter applies to research involving human subjects conducted by Virginia Commonwealth University.

8VAC90-80-20. Federal Regulations Adopted.

Research conducted by Virginia Commonwealth University involving human subjects shall be conducted in accordance with 21 CFR Part 50 (May 30, 1980) and 56 (January 27, 1981), 45 CFR Part 46 (revised June 19, 2017 and amended on January 22, 2018 and June 19, 2018), and 45 CFR Parts 160 and 164 (December 28, 2000) and any other applicable federal law or regulation.



VCU

Integrity and Compliance

Audit and Compliance Services
Integrity and Compliance Office

ucompliance@vcu.edu

Board of Visitors Meeting December 13, 2019

Action Item Requested

Vote to Approve Resolution for Regulatory Adoption pursuant to §23.1-1301 of the Code of Virginia

Reason for the Request

The Board of Visitors is the governing body that must approve regulatory actions (generally this is limited to creation, adoption and repeal). Traditionally, the BOV's Governance and Executive Compensation Committee has approved all regulation-related activities, along with university policies related to governance and local Board operations.

Background and Rationale for the Request

As a state agency VCU has the ability to adopt Regulations which have the force of law. This is usually necessary when there is a need to govern individuals who are beyond the scope of traditional students, employees or other contractors. The Board is permitted to make regulations concerning the institution.

The responsibility for the maintenance of an agency's regulations resides with the designated regulatory liaison. At VCU, this responsibility has been appointed by the President to the Chief Ethics and Compliance Officer.

The resolution seeks regulation adoption approval in order to ensure continuity and consistency in research compliance practices and processes. Currently, George Mason University has a nearly identical regulation and University of Virginia is working on the same before you today.

Code of Virginia Chapter 5.1, §32.1-162.20 provides that human research, subject to federal regulation, is exempt from provisions of Virginia's Code when the activities are governed in accordance with federal regulation. Specifically, compliance with Virginia's Code may be accomplished by opting to promulgate "...regulations under the provisions of the Administrative Process Act (§2.2-4000 et seq.) governing human research projects which incorporate explicitly, or by reference, federal policies and regulations..." The latter part of the Code section addresses research that might not otherwise be required to comply with federal regulations (i.e. no FDA-regulated products, and projects not federally-funded) allowing us to enforce compliance by filing a petition for an injunction in circuit court.

More recently at the federal level:

Under the pre-2018 Common Rule, making a selection on the university's Federal Wide Assurance (FWA) to indicate to the Federal government that we would extend the applicability of 45 CFR 46 to all research at VCU (regardless of funding source), thus including all research as subject to the



VCU

Integrity and Compliance

requirements of the Common Rule and the oversight authority of the Office of Human Research Protections (OHRP) within the Department of Health and Human Services.

Under the 2018 Common Rule, OHRP indicates in the final rule that "voluntary extension will no longer be part of the assurance process and such research will not be subject to OHRP oversight." (82 Fed. Reg. 7156, 7181). Consequently, they intend to remove the ability to demarcate on the FWA that allows us to extend applicability of regulations to *all* research. When this happens, slated for January 2019, without electing to preserve the same, Virginia Code requirements would apply *if and only if* VCU does not approve this regulation.

[DRAFT] Tuition and Fee Public Comment

Policy Type: Local - Board of Visitors

Responsible Office: Office of the President

Initial Policy Approved: NEW

Current Revision Approved: XX/XX/2019

Policy Statement and Purpose

Investment in the learning experience of students and the future of education is the most important issue facing Virginia Commonwealth University (VCU) and all American institutions of higher education. The costs associated with earning a college degree remains a critical component requiring further examination and inclusion of all voices, in particular, the student voice. This issue is important to the governing Board and university senior leadership members; to that end, it is a priority to maintain the highest levels of transparency and integrity in the setting of tuition and fees. As an accountability measure, notice of a public comment period at the Board of Visitors' budget workshop meeting will be provided. This will be utilized as an opportunity for the leadership and governance functions of VCU to make the most informed decisions concerning the budget and tuition and fee increases.

This policy codifies the university's commitment and provides detailed information as to what can be expected related to notice of meetings and opportunities for public comment in the procedures section. Specifically,

- No approval for an increase in undergraduate tuition or mandatory fees shall be brought to a vote without providing students and the public a projected range of the planned increase, an explanation of the need for the increase, and notice of the date and location of any vote on such increase at least 30 days prior to such vote.

The Virginia Commonwealth University Board of Visitors (BOV) holds a budget workshop each fiscal year to discuss the upcoming fiscal year's budget, including any proposed / needed tuition and fee increases. The workshop is an informational meeting designed to review and explain the upcoming budget and any changes to tuition and fees. No final decisions are made nor actions taken at this meeting. Typically a vote to

approve the budget, including any tuition and fee increases, occurs at the next sequential BOV meeting which is usually at the May BOV meeting.

The BOV has determined that public comment will be permitted at the end of the budget workshop or other Board informational meeting designed to review and explain any tuition and fee increases. The parameters for making public comments for consideration whether in person or submitted in advance are included in the procedures section.

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Who Should Know This Policy

All members of the VCU Board of Visitors are responsible for knowing the contents of this policy. The President and staff of the Office of the President must be familiar with its contents and provisions as well as any other individual.

Definitions

Undergraduate tuition refers to the base undergraduate tuition applicable to the majority of students. Rates for both resident and non-resident students will be included. Mandatory fees refers to those fees required of all students such as the library fee, technology fee, university fee, student health fee, and activity fee. Fees relating to specific programs or courses are excluded here.

Contacts

The BOV, by and through its Governance and Compensation Committee, officially

interprets this policy. The Office of the President is responsible for obtaining approval for any revisions and questions regarding this policy should be directed to this Office.

Policy Specifics and Procedures

The informational meeting, designed for discussion, (typically the budget workshop) will include a one (1) hour public comment session at the end of the meeting wherein the BOV will receive comments from any individual on matters pertaining to Virginia Commonwealth University's budget and any potential tuition and fee increases.

Specifics:

Each speaker will be allotted three (3) minutes to comment.

Priority is given to speakers who register on, or before noon, the day before the meeting by providing the following information via email sent to bov@vcu.edu:

- a. name,
- b. email or phone number,
- c. VCU affiliation, (e.g. student, faculty, employee, alumni, parent, or member of the public)
- d. and request for reasonable accommodation.

This information is needed in the event a meeting is canceled or re-scheduled for an unanticipated or unavoidable reason. An in-person sign-up sheet will also be available at the meeting should time remain after registrants provide their comments.

Due to concerns for capacity, technical limitations or difficulties and information security, audio/visual presentations are not permitted. However, speakers may bring and disseminate written materials during their respective time and are requested to provide two (2) copies of any written materials to the Assistant Board Secretary. Speakers are encouraged to also bring a sufficient number of copies to provide to each member of the BOV.

Individuals unable to attend the public comment period in person, or provide comment during the allotted 1 hour period are permitted to provide written comment via an Open Comment Portal from the date of the budget workshop until two weeks prior to the sequential meeting (typically the May BOV meeting) at which tuition and fees will be voted upon.

The portal can be found at: <https://president.vcu.edu/board/open-comment-portal/>

Forms

Public Comment Portal: <https://president.vcu.edu/board/open-comment-portal/>

Related Documents

[Code of Virginia, §2.2-3701](#)

[Code of Virginia, §23.1-307\(D\) - \(E\)](#)

Revision History

None - This is a new policy.

FAQ

1. Why do I have to register to participate in the public comment period?

Registering is not required, however in the interest of planning and maximizing the time within the meeting, preference will be given on a first come first serve basis to those who have registered, allotting the maximum amount of permitted time for each registrant. After all registrants have been given their time opportunity, the individuals who signed up the day of the meeting will be given opportunity in the order of first come, first serve. In addition should it be necessary to postpone the meeting it will provide a means to advise the registrant of same.

2. Who can I contact if I have problems registering?

Contact the VCU Board Liaison. Please see <https://president.vcu.edu/board/> for the contact information for the Board Liaison.

[DRAFT] Student, Faculty, and Staff Representative Appointments to the Board of Visitors

Policy Type: Local - Board of Visitors
Responsible Office: Office of the President
Initial Policy Approved: 09/23/1982
Current Revision Approved: MM/DD/YYYY

Policy Statement and Purpose

The purpose of this policy is to provide requirements for the appointment of student, faculty and staff representatives on Virginia Commonwealth University's Board of Visitors. Although these representatives serve as non-voting advisory representatives on the Board, the university recognizes the value of their participation in Board matters by providing their voice and perspectives on the governance of VCU. This results in stronger ties between the Board and the student body and faculty and staff communities at VCU.

Noncompliance with this policy may result in disciplinary action up to and including termination. VCU supports an environment free from retaliation. Retaliation against any employee who brings forth a good faith concern, asks a clarifying question, or participates in an investigation is prohibited.

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Who Should Know This Policy

Virginia Commonwealth University's Board of Visitors, president, senior vice-provost for student affairs, students, faculty and staff are responsible for knowing this policy and familiarizing themselves with its contents and provisions.

Definitions

There are no defined terms in this policy.

Contacts

The Office of the President officially interprets this policy. The Office of the President is responsible for obtaining approval for any revisions as required by the policy *Creating and Maintaining Policies and Procedures* through the appropriate governance structures. Please direct policy questions to the Board Liaison, whose contact information can be found at: <https://president.vcu.edu/board/>.

Policy Specifics and Procedures

1. **Student Representative:** The following procedures will be used in appointing student representatives to the Board:
 - a. **Selection**
 - i. The president will recommend one graduate/professional student and one undergraduate to serve as the student representatives from lists submitted by a selection committee, chaired by the senior vice provost for student affairs. The selection committee includes representation from undergraduate and graduate/professional students.
 - ii. The student representatives to the Board of Visitors will be appointed for a term effective July 1 of each year and ending with May Commencement.
 - iii. The student representatives to the Board of Visitors are expected to attend the Academic and Health Affairs Committee and regular full Board meetings with voice but no vote.
 - iv. The student representatives will not serve on any other Board Committee and will not be allowed to attend closed or special sessions of the Board.
 - b. **Eligibility**

To be eligible to apply and serve as a student representative, a student must:

 - i. Be enrolled as a VCU full-time student in good standing as defined by the university;
 - ii. Maintain continuous VCU full-time enrollment throughout the representative term (i.e. July 1 – May Commencement);
 - iii. Be available to attend Board meetings and planning meetings with the senior vice provost for student affairs; and

- iv. Complete the BOV student representative application form and resume, which is available on the Division of Student Affairs web page <https://students.vcu.edu/get-involved/> under Student Leadership.

2. Faculty Representative: The following procedures will be used in appointing a faculty representative and an alternate faculty representative to the Board:

- a. The president will recommend to the Board of Visitors that the immediate past president of the faculty senate shall be the faculty representative and the faculty senate president shall be the alternate faculty representative. The faculty representative and the alternate faculty representative will be nonvoting representatives.
- b. The Board of Visitors will appoint the faculty representative to the Board for a one-year term effective July 1 of each year and ending the following June 30. The faculty representative will not be allowed to attend closed sessions of the Board or its Committees.
- c. The faculty representative to the Board of Visitors will serve as a nonvoting representative to the Academic and Health Affairs Committee of the Board.
- d. The alternate faculty representative will not have official status but will be allowed to substitute for the appointed faculty representative at both full Board meetings and Academic and Health Affairs Committee meetings with voice but no vote.

3. Staff Representative: The following procedures will be used in appointing a staff representative and an alternate staff representative to the Board:

- a. The president will recommend to the Board of Visitors that the Staff Senate President shall be the staff representative and that the Staff Senate Vice President shall be the alternate staff representative to the Board of Visitors. The staff representative and the alternate staff representative will be nonvoting representatives.
- b. The Board of Visitors will appoint the staff representative to the Board for a one-year term effective July 1 of each year and ending the following June 30. The staff representative will not be allowed to attend closed sessions of the Board or its Committees
- c. The staff representative to the Board of Visitors will serve as a nonvoting representative to the Academic and Health Affairs Committee of the Board.
- d. The alternate staff representative will not have official status but will be allowed to substitute for the appointed staff representative at both full Board meetings and Academic and Health Affairs Committee meetings with voice but no vote.

Forms

1. [Board of Visitors Student Representative Application](#)

Related Documents

1. **Code of Virginia § 23.1-1300. Members of governing boards; removal; terms; nonvoting, advisory representatives; residency.**

<https://law.lis.virginia.gov/vacode/title23.1/chapter13/section23.1-1300/>

Revision History

This policy supersedes the following archived policies:

Approval/Revision Date	Title
September 23, 1982	<i>Policy Regarding Student Representative And An Alternate Student Representative to the Board of Visitors</i>
July 3, 1990	<i>Policy Regarding Student Representative And An Alternate Student Representative to the Board of Visitors</i>
May 15, 2003	<i>Policy Regarding Faculty Representative to the Board of Visitors of Virginia Commonwealth University</i>
May 1, 2005	<i>Policy Regarding Student Representative And An Alternate Student Representative to the Board of Visitors</i>
May 20, 2005	<i>Policy Regarding Student Representative And An Alternate Student Representative to the Board of Visitors</i>
February 22, 2007	<i>Policy Statement Concerning Staff Representation on the Board of Visitors</i>

FAQ

1. **Who should a student contact if they have questions concerning the application process for becoming the Student Representative to the Board?**

All questions pertaining to the application process for the Student Representative to the Board of Visitors should be directed to the Office of the Senior Vice Provost for Student Affairs.

Contact information for the Office of the Senior Vice Provost for Student Affairs can be found at:

<https://students.vcu.edu/about/staff/>



ARTICLE I LEGAL STATUS

SECTION 1. NAME

The Board of Visitors of Virginia Commonwealth University is a corporation under the name and style of “Virginia Commonwealth University”. The institution shall be known as Virginia Commonwealth University (“VCU”).

SECTION 2. PRINCIPAL OFFICE OF THE BOARD.

The principal office of the Board shall be located, and all meetings held, as far as practical, in the City of Richmond.

ARTICLE II BOARD OF VISITORS

SECTION 1. GOVERNING BODY.

The University shall be governed by the Board of Visitors (“Board”) and shall at all times be under the control of the General Assembly of the Commonwealth of Virginia.

SECTION 2. PURPOSE OF THE BOARD.

As set forth by the General Assembly, the Board is formed for the purpose of establishing and maintaining a university consisting of colleges, schools, and divisions offering undergraduate and graduate programs in the liberal arts and sciences and courses of study for the professions and such other courses of study, as may be appropriate, and in connection with the purpose, the board may maintain and conduct hospitals, infirmaries, dispensaries, laboratories, research centers, power plants, and such other facilities as it deems proper.

SECTION 3. COMPOSITION, APPOINTMENT, MEMBER TERMS, REMOVAL AND RESIGNATION OF MEMBERS.

A. Composition and Appointment. The Board shall consist of 16 members appointed by the Governor of the Commonwealth of Virginia, subject to confirmation by the General Assembly, and shall serve until their successors have been appointed and confirmed.

B. Member Term Limits. Members shall be eligible to serve for two four-year terms, which may be served consecutively. However, a member appointed by the Governor to serve an unexpired term is eligible to serve two additional four-year terms.

C. Removal of Members. If any member of the Board fails to attend (i) the meetings of the Board for one year without sufficient cause, as determined by a majority vote of the Board, or (ii) the educational programs for governing boards presented by the State Council of Higher Education for Virginia, as required by the Code of Virginia, in the member's first two years of membership without sufficient cause, as determined by a majority vote of the Board, the remaining members of the Board shall record such failure in the minutes at the Board's next meeting and notify the Governor, and the office of such member shall be vacated.

Additionally, the Governor has the authority to remove from office for malfeasance, misfeasance, incompetence, or gross neglect of duty any member of the Board and fill the vacancy resulting from the removal. Each appointment to fill a vacancy shall be subject to confirmation by the General Assembly.

D. Member Resignation. Any Board member may resign at any time by providing notice of the date of resignation to the Governor, with a copy of said notice being provided to the Rector and a copy to the Assistant Secretary of the Board, so that the Board can take measures to accommodate said resignation. Such resignation shall take effect at the time specified in such notice and, unless otherwise specified therein, the acceptance of the resignation shall not be necessary for it to take effect.

SECTION 4. TRANSPARENCY.

The Board shall have the following responsibilities as set forth in the Code of Virginia related to transparency and open government:

1. Comply in all respects with the Commonwealth of Virginia's Freedom of Information Act ("FOIA").
2. Comply with the additional open meeting requirements for Institutions of Higher Education, including but not limited to:
 - a. Adopt and post conspicuously on the VCU website these Bylaws;
 - b. Describe on the VCU website the Board's obligations under FOIA;
 - c. Conduct all discussions and actions on any topic not specifically exempted by FOIA in open meeting;
 - d. Give public notice of all meetings in accordance with FOIA; and
 - e. Approve in open meeting any action taken in closed session as required by FOIA.
3. Notify and invite the Attorney General's appointee or representative (the legal counsel of the institution) to all meetings of the Board, Executive Committee, and other Board committees.
4. The Board shall provide to the General Assembly and the Governor an annual executive summary of its interim activity and work no later than the first day of each regular session of the General Assembly. This report shall be submitted in accordance with procedures stipulated by law.

SECTION 5. MEETINGS.

A. Regular Meetings. The Board shall meet at least four times a year on dates and in places (within Richmond as far as it is practical) set by the Rector. The annual meeting will be the last regular meeting of the fiscal year.

B. Special Meetings. Special meetings of the Board may be called by the Rector, or in the absence or disability of the Rector, by the Vice-Rector, or by any three members. The requests shall be submitted to

the Assistant Secretary to the Board, who has the ministerial responsibility for making arrangements for the special meeting. The purpose, date, time, and place will be specified in the call for the special meeting.

C. Notice. At least three days' notice in writing (which may be by email) shall be provided and shall include the purpose, date, time, and place except that notice of an emergency meeting shall be reasonable under the circumstances and notice to the public shall be given contemporaneously with notice provided to members.

D. Quorum and Votes.

1. Board Meetings. A simple majority of the members constitutes a quorum. Unless otherwise required by statute, actions of the Board are taken by simple majority of those present and voting. The Board ~~or any of its committees~~ may meet without a quorum present, however no official action may be taken at said meeting. Notice of such meeting shall be required if required by the Virginia Freedom of Information Act (FOIA).

2. Standing Committee Meetings. For meetings of a Standing Committee a quorum shall be three (3) members of the Standing Committee. The Rector and/or Vice Rector shall be counted as a member in determining the quorum for a meeting of one of the Standing Committees. A Standing Committee may meet without a quorum present, however, no official action may be taken at said meeting. Notice of such meeting shall be required if required by FOIA.

E. Order of Business. The order of business at meetings shall be determined by the Rector.

F. Electronic Meetings. The Board may adopt a policy on electronic meetings or participation in an electronic meeting as permitted by FOIA.

SECTION 6. OFFICERS OF THE BOARD.

A. Officers. The officers of the Board shall be the Rector, Vice-Rector and Secretary, and shall be appointed from the Board membership. Either the Rector or Vice-Rector **shall** be a resident of the Commonwealth of Virginia.

B. Election of Officers. The Nominating Committee shall make its recommendation for Rector at the first regular Board meeting of the calendar year and the Board shall at that time act on the recommendation and elect a Rector. The Nominating Committee shall make a recommendation to the Board for the Vice-Rector and Secretary at the annual meeting and the Board shall at that time act on the recommendation and elect a Vice-Rector and Secretary. The Board may decide in its discretion to postpone the election for the Vice-Rector and Secretary until after July 1, and if so postponed the election shall occur at the next scheduled Board meeting.

C. Rector.

1. Election and Term. The Board shall elect a Rector-Elect at the first meeting of the calendar year; the Rector-Elect will take the office of Rector on July 1 for a one-year term. The Rector may be re-elected for one additional term. However, there shall be no limitation on the number of non-consecutive terms an individual may serve as Rector.

2. Powers and Duties. The Rector shall have the following duties and responsibilities:

- a. preside at all Board meetings;
- b. in consultation with the Vice Rector appoint the members of each committee (both standing and ad hoc), including the Chair and Vice Chair;
- c. act as spokesperson or representative of the Board; and
- d. perform such other duties as are generally expected of the presiding officer or that are imposed by statute, these Bylaws, or action of the Board.

The Rector shall be a member, ex officio, with full voting rights, of all committees of the Board and shall be counted as a member in determining the quorum.

The Rector and the President shall work closely to develop the agenda for Board meetings and to submit reports and other communications to the Board. The Rector facilitates communication between the Board and the Administration. The Rector is normally anticipated to be one of the members of the Board to serve on the Board of Directors of the Virginia Commonwealth University Health System Authority.

D. Vice-Rector.

1. Election and Term. The Board, at its Annual meeting, or such other time as the Rector and Rector-Elect shall determine but in no event later than the first regular meeting of the fiscal year, elects a Vice-Rector who shall serve for a one-year term commencing on July 1, or on such date as a successor has been elected, whichever is later. The Vice-Rector may be re-elected for one additional term. However, there shall be no limitation on the number of non-consecutive terms an individual may serve as Vice-Rector.

2. Powers and Duties. The Vice-Rector shall assume the duties and have the powers of the Rector in the absence or temporary disability of the Rector.

In the event of the death, permanent disability or resignation of the Rector, or should the Rector become otherwise permanently unable to perform the duties and functions of the office, the Vice Rector will become Rector for the remainder of the term, and a new Vice Rector will be elected. This partial term shall not prohibit an individual from being elected to two one-year terms as set forth herein.

The Vice-Rector shall perform other duties as requested by the Rector or the Board.

The Vice-Rector shall be a member, ex-officio, with full voting rights, of all committees of the Board and shall be counted as a member in determining the quorum.

E. Secretary and Assistant Secretary

1. Election and Term. The Board, at its Annual meeting, or such other time as the Rector and Rector-Elect shall determine but in no event later than the first regular meeting of the fiscal year, elects a Secretary, who shall serve for a one-year term or until a successor has been elected. The Secretary may be re-elected for one additional term. However, there shall be no limitation on the number of non-consecutive terms an individual may serve as Secretary.

2. Powers and Duties. The Secretary shall preside at the meetings of the Board and its Executive Committee in the absence of the Rector and Vice-Rector, and shall perform such other duties as are incident to the office or as may from time to time be assigned by the Rector that may include reviewing the minutes. Any of the enumerated duties of the Secretary may be delegated to an Assistant Secretary as set forth in Article II, Section 6, subsection (E)(3) below.

3. Assistant Secretary. The Board may appoint, in consultation with the President, an Assistant Secretary to the Board, who shall perform any or all of the duties of the Secretary, except for the duty to preside at the meetings of the Board and its Executive Committee. Specifically, the Assistant Secretary shall attend all meetings of the Board of Visitors and the Executive Committee and prepare minutes of such meetings and after approval by the Board, shall sign the minutes and record same in the permanent records of the Board, and shall authenticate and certify true and exact copies of documents that have been approved by the Board to comply with both internal and external requests. The Assistant Secretary shall provide proper notice of all meetings of the Board as required by these Bylaws and by law. In addition the Assistant Secretary shall assist the Board in the discharge of its official duties, and shall under the immediate direction of the President, perform such other duties as may be assigned to him or her by the Board, the Vice-Rector, the Rector, or the President.

The Assistant Secretary's term shall continue until such time as a successor is appointed, or the individual is no longer employed as Board Liaison by the University, whichever first occurs.

F. Inability or Incapacity of an Officer to Serve.

1. Replacement. Except as set forth in Section D. 2. of this article, if an elected Officer of the Board (other than the Rector) resigns, or is, or will be, unable to serve for a period in excess of thirty (30) days of their elected term, the Rector shall timely call a special meeting of the Nominating Committee to recommend another member of the Board to serve instead.

2. Election. The Board shall vote at its next regularly scheduled meeting to act on the recommendation of the Nominating Committee.

3. Terms of office. Officers elected through these provisions shall serve the remainder of (or the entirety as the case might be) of the replaced Officer's term.

SECTION 7. COMMITTEES

A. Executive Committee.

1. The Executive Committee shall be comprised of the Rector, Vice-Rector, Secretary, the Chairs of the standing committees, and two members-at-large who shall be elected by the Board at the first regular meeting of the year. The Rector shall serve as the Chair, and the Vice-Rector shall serve as the Vice Chair.

2. The Executive Committee shall be authorized to convene and exercise the full power and authority of the Board between meetings of the Board whenever circumstances require immediate action to address matters of an urgent nature, or as the Board may otherwise direct. All Board members shall be notified of meetings and provided the agenda and any documents distributed for such meetings. Any Board Member who wishes to do so may attend an Executive Committee meeting.

3. Consistent with the provisions of Virginia law, the Executive Committee shall assure that the following activities are conducted and completed as necessary:

- Development of a statement of governance setting forth the Board's role for recommendation to and adoption by the Board;
- Periodic review of the Board's Bylaws and recommendation of amendments as deemed necessary and appropriate;

- Provide advice to the Board on committee structure, appointments, and meetings, as deemed necessary and appropriate;
- Develop an orientation and continuing education process for Board members that includes training on the FOIA;
- Creation, monitoring, oversight and review of compliance by Board members with a code of ethics for Board members; and
- Development of a set of qualifications and competencies for membership on the Board for approval by the Board and recommendation to the Governor.

4. A ~~simple majority of the quorum shall be three (3)~~ members of the Executive Committee ~~shall constitute a quorum~~. In the event a quorum is not present, other members of the Board may be appointed by the Rector to serve in the place of absent members on an *ad hoc* and temporary basis in order that a quorum be attained.

5. The Assistant Secretary to the Board shall promptly inform all members of the Board of any action taken by the Executive Committee. The Rector shall report actions taken by the Executive Committee at the next regularly scheduled meeting of the Board.

B. Standing Committees. The Rector-Elect in consultation with the Rector shall appoint the members of each standing committee. The Rector-Elect shall designate the chair and vice-chair of each committee, except that the Chair of the Governance and Compensation Committee shall be the Vice-Rector.

The Rector, the full Board, the President, or the President's designee may refer matters to a standing committee. In addition to the specific responsibilities provided by these Bylaws, the standing committees shall have any other duties and responsibilities assigned by the Board or the Rector.

~~A simple majority of the quorum at a meeting of one of the standing committees shall be three (3) members of a committee shall constitute a quorum.~~ In the event that a quorum is not present, other members of the Board may be appointed by the Rector to serve in the place of absent members on an *ad hoc* and temporary basis in order that a quorum may be attained. The Rector and/or Vice Rector shall be counted as a member in determining the quorum for a meeting of a standing committee.

Each standing committee shall adopt and have approved by the Board a charter outlining its roles and responsibilities. Each standing committee shall also prepare an annual work plan to be shared with the Board.

Each standing committee shall report to the Board at the next regularly scheduled Board meeting.

Each standing committee has the authority to approve the minutes of its meetings without further Board approval. Unless otherwise specifically provided by the Board, the decisions and recommendations of standing committees are advisory and shall be approved and ratified by the Board of Visitors.

The Board shall have the following standing committees:

1. Academic and Health Affairs Committee. The primary purpose of the Academic and Health Affairs Committee shall be to assist the Board in fulfilling its fiduciary responsibilities by providing oversight and making recommendations to the Board on all policies and plans consistent with the stated goals and objectives of the University and with its academic health center, including its affiliation with the Virginia Commonwealth University Health System Authority, regarding the following areas:

- Strategic enrollment management

- Academic quality
- Student issues
- Faculty issues
- Athletics
- Research
-

2. Intercollegiate Athletics Committee. The primary purpose of the Intercollegiate Athletics Committee shall be to assist the Board in fulfilling its fiduciary responsibilities for oversight of the University's intercollegiate athletics program including but not limited to the following areas of athletics policy:

- ~~Finances of the athletics programs, including budgeting, expenditures, and fund balances~~
- Student-athlete health and safety
- Admissions and academic policies for student-athletes including academic progress and integrity
- Institutional compliance with applicable rules and regulations, including those of the National Collegiate Athletic Association (NCAA) and the Conference
- Ethical behavior
- Athletics personnel
- Finances of the athletics programs, including budgeting, expenditures, and fund balances
- Athletic facilities, including capital expenditures and debt

3. Audit, Integrity and Compliance Committee. The primary purpose of the Audit, Integrity, and Compliance Committee shall be to assist the Board in fulfilling its fiduciary responsibilities related to oversight of:

- Soundness of the University's system of internal controls
- Integrity of the University's financial accounting and reporting practices
- Independence and performance of the internal and external audit functions
- Integrity of information technology infrastructure and data governance
- Effectiveness of the University's ethics and compliance program
- Institutional conflict of interest issues
- University's enterprise risk management program
- Legal matters

4. Finance, Budget and Investment Committee. The primary purpose of the Finance, Budget and Investment (FBI) Committee shall be to assist the Board in fulfilling its objectives and fiduciary responsibilities related to specific policy/policies and oversight of:

- University finances and investments
- University policies on debt management
- University facilities planning, design, construction and renovation
- University purchase and divestiture of land, including any easements

5. Governance and Compensation Committee. The primary purpose of the Governance and Compensation Committee shall be to assist the Board in fulfilling its objectives and responsibilities related to applicable policies and oversight of:

- Board and University Governance Issues
- Relationship with affiliated VCU Entities
- Board Nominations to Governor
- Presidential Evaluation and Compensation Process
- Board Self-Evaluation
- Review of Board Bylaws

6. University Resources Committee. The primary purpose of the University Resources Committee shall be to assist the Board in fulfilling its fiduciary responsibilities by monitoring and overseeing activities related to:

- Generating resources and stakeholder support of the University's strategic goals, particularly in the areas of government relations
- Development and alumni relations
- Public relations and marketing communications.

In addition, the University Resources Committee shall recommend to the Board the naming of any physical facility or part thereof or any programmatic element of the University as outlined in the Board approved "Policy Guidelines on Recognition of Donors and Friends."

D. Subcommittees. Each standing committee may establish and appoint subcommittees as necessary, subject to Board approval. Subcommittees are subject to the same procedural rules as the primary committee.

E. Nominating Committee. The Rector shall appoint a Nominating Committee which shall have as its purpose the nomination of candidates for Rector, Vice Rector and Secretary of the Board for the succeeding year pursuant to Article II, Section 6(B) above, and shall periodically review board leadership succession. Upon election of the Rector for the succeeding year, the Rector and Rector-Elect shall become members of the Nominating Committee.

F. Ad Hoc Committees. The Rector may, from time to time appoint ad hoc committees of the Board, as the Rector deems necessary for the good conduct of Board and University affairs. Each such committee shall have a chair and at a minimum two additional members, all of whom shall be appointed by the Rector. The duties of all ad hoc committees shall be specified and reported to the Board by the Rector at the time of the appointment. Ad hoc committees are not required to have written charters.

ARTICLE III ADMINISTRATIVE OFFICERS AND OTHER EMPLOYEES OF THE UNIVERSITY

SECTION 1. THE PRESIDENT OF THE UNIVERSITY

A. Chief Executive Officer. The President of the University ("President") shall be the chief executive officer of the University.

B. Appointment. The President shall be appointed by the Board and serves at its pleasure.

C. Powers and Duties. The President in addition to serving as the chief executive officer of the University, shall also serve as President of the Virginia Commonwealth University Health System Authority, and shall also sit on the Virginia Commonwealth University Health System Authority Board of Directors (VCUHS Board) and by statute shall be the Chair of the VCUHS Board. In addition, the President shall also serve on the Virginia Biotechnology Research Partnership Authority Board of Directors and the Virginia Biotechnology Research Park Corporation Board of Directors. The President is a member of the faculty of the University.

As chief executive officer of the University, the President shall have the following powers and duties:

- The President shall have the responsibility for the operation of the University in conformity with the purposes and policies determined by the Board;
- In addition to the positions of other officers identified and established in Article III, Section 2 below, the President shall have the power to establish and modify as he or she deems necessary the internal administrative structure of the University and shall appoint or provide for the appointment of all administrative officers, making a report of his or her actions thereon to the Board at the next regular meeting;
- The President shall act as an advisor to the Board and shall have responsibility for recommending to the Board for consideration those policies and programs which in the opinion of the President will best promote the interests of the University;
- The President shall have the authority to oversee and to administer the policies of the Board;
- The President shall be the primary contact between the Board and the University;
- The President shall provide oversight and shall be accountable for the management of the University;
- The President shall have responsibility for the oversight of strategic planning and implementation as established by the Board;
- The President shall promote the development of both public and private resources to support the mission of the University;
- The President shall recommend an annual budget;
- The President shall serve as the primary spokesperson for the University;
- The President shall manage all matters delegated by the Board, including personnel matters;
- The President shall fulfill all statutory duties; and
- The President shall perform such other duties as may be required by the Board.

C. Evaluation of the President. The Board shall be responsible for oversight of the President's performance, and is required by statute to meet with the President annually to deliver an evaluation of the President's performance. The Governance and Compensation Committee of the Board shall be the committee to oversee such evaluation. Changes to the President's employment contract shall be made only by a vote of the majority of the Board's members.

SECTION 2. OTHER OFFICERS

A. Provost & Senior Vice President for Academic Affairs

1. Appointment. The Provost & Senior Vice President for Academic Affairs is the Chief Academic Officer and reports to, and serves at the pleasure of the President. The President, subject to Board ratification, shall select the Provost & Senior Vice President for Academic Affairs.

2. Powers and Duties. The Provost & Senior Vice President for Academic Affairs shall have all the powers and duties regarding academic matters that the President delegates to that office. The Provost & Senior Vice President for Academic Affairs shall be the President's deputy and is empowered to act on behalf of the President in all respects in the event of the absence, unavailability or disability of the President.

B. Senior Vice President & Chief Financial Officer

1. Appointment. The Senior Vice President & Chief Financial Officer of the University reports to and serves at the pleasure of the President. The President, subject to Board ratification, shall select the Senior Vice President & Chief Financial Officer.

2. Powers and Duties. The Senior Vice President & Chief Financial Officer shall have all the powers and duties related to financial management and such other duties that the President delegates to that office.

C. Senior Vice President for Health Sciences of Virginia Commonwealth University

1. Appointment. The Senior Vice President for Health Sciences of Virginia Commonwealth University is the Chief Academic Officer for the Health Sciences Schools of the University. By statute, this officer also serves as chief executive officer of the Virginia Commonwealth University Health System Authority. The initial appointment and initial compensation and any reappointment of this position shall be made jointly by the Board of Visitors and the VCU Health System Authority Board of Directors at a joint meeting of said Boards. After such appointment or reappointment, the base compensation for this position shall be approved by the VCU Board of Visitors and the VCUHS Authority Board of Directors, respectively. Each board shall take into account the recommendations from the President.

2. Powers and Duties. The Senior Vice President for Health Sciences shall have all the powers and duties for those responsibilities that the President delegates to that office and as set forth by statute.

D. Delegation of Authority. Upon consultation with the Rector, the President shall have interim authority to conclude any appointment or action otherwise requiring Board approval, subject to ratification by the Board. The President also shall report to the Board in advance any extraordinary appointment or compensation action that, in the judgment of the President, warrants such reporting to the Board.

SECTION 3. EXECUTIVE DIRECTOR OF AUDIT AND COMPLIANCE SERVICES

1. Appointment. The Board, in consultation with the President, appoints, evaluates, and sets the compensation for the Executive Director of Audit and Compliance Services.

2. Powers and Duties. The Executive Director of Audit and Compliance Services shall have the powers and duties that are assigned by the Audit, Integrity and Compliance committee, in consultation with the President.

SECTION 4. FACULTY

A. Faculty. The general faculty consists of the President, Provost & Senior Vice President for Academic Affairs, deans, directors, professors, associate professors, assistant professors, research professors, associate research professors, assistant research professors, assistant, associate and full professors of clinical subjects, instructors and other ranks or titles as approved by the President.

B. Tenure of Faculty. The Board, on recommendations from the President, shall approve all faculty tenure decisions.

C. Delegation of Authority. The Board hereby delegates to the President the authority to establish faculty positions, appoint and/or reappoint faculty, approve faculty promotions and faculty emeritus status, and to determine leaves of absence for and termination of faculty members. The President shall also have the

authority to hire, set the compensation for and implement increases, state decreed or otherwise, for all university employees in accordance with VCU's human resources policies.

Academic dean appointments shall require Board approval. Upon consultation with the Rector, the President shall have interim authority to make any academic dean appointment or take any action in connection with the academic dean appointment, subject to ratification by the Board.

ARTICLE IV CONFLICT OF INTEREST COMPLIANCE

Each member of the Board shall comply with state statutes regulating conflict of interest which may include filing an annual financial disclosure statement and completion of required conflict of interest training.

ARTICLE V LIABILITY

To the extent they are acting in their capacity as members of the Board and within the scope of their authority, all members of the Board are considered to be officials of Virginia Commonwealth University and the Commonwealth of Virginia. Each member of the Board who is engaged in carrying out the duties and responsibilities of a Board member is covered as set forth in the Risk Management Plan, which is established by the Commonwealth's Department of the Treasury, Division of Risk Management, with the approval of the Governor. Coverage is subject to the terms and exclusions set forth in the Plan. The Commonwealth's Division of Risk Management has final responsibility for interpretation and determination of coverage under the Plan. A copy of the plan can be obtained from VCU's Director of Insurance and Risk Management in VCU's Safety and Risk Management office.

ARTICLE VI MISCELLANEOUS PROVISIONS

SECTION 1. SEAL.

The corporate seal of the University is the seal displayed in and/or appended to these Bylaws, as appendix A.

SECTION 2. ASSESSMENT OF BOARD PERFORMANCE.

At least every two years, the Board shall assess its performance. The Rector shall determine the method of assessment, subject to Board approval. The Governance and Compensation Committee shall thereafter conduct said board self-assessment.

SECTION 3. CONDUCT OF BUSINESS.

Unless otherwise specified by these Bylaws, all Board and committee meetings, actions, and rulings shall be guided by the most current edition of *Robert's Rules of Order*.

SECTION 4. SUSPENSION OR AMENDMENT OF BYLAWS.

A. Procedure. These Bylaws may be amended in whole or in part at any meeting of the Board of Visitors by a majority vote of all members present at said meeting, provided the Governance and Compensation Committee has submitted its views on the amendment to the Board and that notice of the amendment was included in the regular notice of the meeting.

B. Suspension. Any provision of the Bylaws may be suspended at any regular or special meeting of the Board by a majority of the members present at said meeting.

C. Ratification. No action taken in violation of the Bylaws shall be effective unless ratified in accordance with the Bylaws.

Effective Date. The foregoing Bylaws shall go into effect immediately upon approval; revised September 17, 1992; revised November 17, 1994; revised May 19, 1995; revised May 16, 1997; revised September 17, 1998, effective January 1, 1999; revised February 11, 1999; revised November 14, 2002; revised August 24, 2006; revised August 9, 2007; revised February 11, 2010; revised April 15, 2013; revised May 9, 2014; revised December 12, 2014; revised February 12, 2015; revised May 8, 2015; revised March 23, 2016; revised and restated October 31, 2017; revised March 22, 2019.

SUMMARY OF PROPOSED VCU BOARD OF VISITORS BYLAW CHANGES

I. Article II – Section 5. D. (pg. 3 and 6) and Article II – Section 7 (pg. 6)

Changed the Quorum requirements for all Standing Committees and Executive Committee to be three (3) members

II. Article II – Section 7 – B.2. re-ordered bullet points.



**VIRGINIA COMMONWEALTH UNIVERSITY
BOARD OF VISITORS
STATEMENT ON GOVERNANCE**

The Virginia Commonwealth University Board of Visitors ("the Board") is composed of sixteen (16) voting members appointed by the Governor of the Commonwealth of Virginia, subject to confirmation by the General Assembly. Each member serves a term of four years. In addition, each year the Board selects a student, a faculty member, and a staff member to serve as non-voting advisory representatives on the Board of Visitors.

As public trustees the Board has the responsibility and authority, subject to constitutional and statutory limitations, for the continuing operation, development of evolving policies, and financial oversight of Virginia Commonwealth University ("the University"). The Board appoints a President to serve as Chief Executive Officer of the University.

The Board and the University are at all times subject to the control and legislative enactments of the General Assembly of the Commonwealth of Virginia.

The Board in executing their duties has adopted the Virginia Commonwealth University Board of Visitors Bylaws to provide for the orderly, consistent, and efficient conduct of its business as the governing body of the University. A copy of the Bylaws can be found at <https://www.president.vcu.edu/board/bylaws.html>.

In carrying out its responsibilities, the following expectations are attendant to service on the Board of Visitors:

- Board members have a fiduciary responsibility to the University and are expected to act in the best overall interest of the University;
- Board members are expected to become familiar with key aspects of the University including, but not limited to, its mission, governance, financing, strategic plans and performance measures;
- Board members are expected to devote the necessary time, attention and preparation to Board activities to function effectively and to enable the Board as a whole to function effectively, including new Board of Visitor orientation, committee meetings, board retreats and public meetings;
- Board members are expected to understand the Virginia Freedom of Information Act and act accordingly;
- Board members are expected to defer to the Rector of the Board for public comment on matters before the Board, or to make clear that any opinions expressed are expressed as an individual rather than on behalf of the Board as a whole; and
- Board members are expected to maintain a tradition of exemplifying the highest standards of ethical behavior, and to comply with the University's Code of Ethics, and to comply with Federal, State and local laws, regulations and policies applicable to Board of Visitors of public colleges and universities in the Commonwealth of Virginia.

Adopted: _____, 2019

Resolution

BOV Member Attendance at SCHEV Orientation

December 13, 2019

WHEREAS, the Code of Virginia requires the State Council of Higher Education for Virginia (SCHEV) to provide educational programs for newly appointed board members at public institutions of higher education within the first two years of the board members' service. The topics to be covered by SCHEV are contained in the Code of Virginia and range from best practices in governance to future and national trends. The orientation has traditionally been held during autumn in Richmond.

WHEREAS, Code of Virginia§ 23.1-1300(E) requires members of the Board of Visitors of Virginia Commonwealth University and all public institutions of higher education in the Commonwealth of Virginia to attend the Board training provided by SCHEV and any member who does not attend such required training within the first two years of membership on the Board is subject to a determination by the Board that the member has sufficient cause for failing to attend.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Visitors of Virginia Commonwealth University, having considered the reasons for his inability to attend, hereby determines that Todd Haymore, as a member of the Board, has sufficient cause for not attending the required SCHEV training within the first two years of his membership.